

PENDLETON COUNTY FISCAL COURT
MARCH TERM
MARCH 25, 2014
COURT MET PURSANT TO ADJOURNMENT
WITH
HONORABLE HENRY BERTRAM, JUDGE/EXECUTIVE
PRESIDING

Members Present: Alan Whaley, Gary Veirs, Bob Fogle and David Fields

Members Absent: None

County Attorney: Jeff Dean

Invocation was given by Squire Fogle with the Pledge of Allegiance being led by Judge Bertram.

In Re: Introduction of Candidates Running for Public Office

The following candidates were present to introduce themselves to the Fiscal Court.

Constables: Mike Fleharty, Louis McCord and Michael Dougherty.

Sheriff: Bill Harris

PVA: John Steele

Magistrate District 1: Jay Caldwell and Alan Whaley

Magistrate District 2: Gary Veirs

Magistrate District 3: Bob Fogle, Stacey Meyer and Darrin Gregg

Magistrate District 4: Rick Mineer, Joyce Brown and Jack Caldwell

County Judge: Rob Bertram, Andrew Coleman, David Fields and L.R. Faulkner, Jr.

State Representative: Mark Hart and Tom McKee

State Senator: Will Schroeder, Deb Sheldon and Jason Steffen

Family Court Judge: Heather Fryman and Barbara Paul

In Re: Approval of Agenda

Judge Bertram presented the agenda for this meeting whereby Squire Veirs made a motion, seconded by Squire Whaley to approve the agenda as presented, motion carried.

In Re: Approval of Minutes

Minutes of the March 13, 2014 meeting were presented to the court by fiscal court clerk, Vicky King, whereby Squire Fogle made a motion, seconded by Squire Fields that the minutes be approved as presented, motion carried.

In Re: Approval of Treasurer's Report

Pendleton County Treasurer, Vicky King, presented the court with a written report for the month of February 2014 at the March 13, 2014 meeting. Squire Whaley made a motion to approve the report as presented, motion carried.

In Re: Resolution Authorizing the Judge to Sign and Execute Documents Related to FEMA Northern Pendleton Generator Grant

A resolution authorizing the Judge Executive to accept a FEMA Grant for a new Generator for Northern Pendleton Fire District was presented to the court, whereby Squire Veirs made a motion, seconded by Squire Whaley that this resolution be approved as presented, motion carried.

PENDLETON COUNTY FISCAL COURT

PENDLETON COUNTY, KENTUCKY

RESOLUTION NUMBER: _____

A RESOLUTION OF THE PENDLETON COUNTY FISCAL COURT AUTHORIZING JUDGE EXECUTIVE HENRY BERTRAM TO ACCEPT A FEMA GRANT FOR A NEW 80 kw GENERATOR FROM THE KENTUCKY OFFICE OF HOMELAND SECURITY IN THE AMOUNT OF \$ 50,825, TO PROVIDE UP TO 4,800 IN LOCAL PROJECT MATCH, TO ACCEPT \$ 3,500 IN LOCAL MATCH FROM NORTHERN VOLUNTEER FIRE DEPARTMENT AND TO SIGN ALL CONTRACTS REQUIRED TO PROCURE AND INSTALL THE PROJECT GENERATOR.

WHEREAS; the Pendleton County Fiscal Court is committed to providing support for emergency services in the county, and

WHEREAS; the project 80 kw generator will greatly improve emergency services in the northern portion of the county, and

WHEREAS; the county is the generator project fiscal agent and must execute all project contracts and ensure all local funding is secure,

NOW THEREFORE BE IT RESOLVED, that the Pendleton County Fiscal Court hereby authorizes Judge Executive Henry Bertram to provide up to \$ 4,800 in local project match, to accept up to \$ 3,500 in local project match from the Northern Pendleton Volunteer Fire Department and to sign all contracts required to fully execute the project.

Done at a regularly scheduled meeting of the Pendleton County Fiscal Court held on March 25th, 2014.

ATTEST:

Henry W. Bertram
County Judge/Executive

Fiscal Court Clerk

In Re: Resolution Authorizing the Judge to Sign and Execute Documents Related to FEMA EOC Safe Room Grant

A resolution authorizing the Judge Executive to accept a FEMA Grant for a Safe Room to built at the EOC was presented to the court, whereby Squire Fields made a motion, seconded by Squire Fogle that this resolution be approved as presented, motion carried.

PENDLETON COUNTY FISCAL COURT

PENDLETON COUNTY, KENTUCKY

RESOLUTION NUMBER: _____

A RESOLUTION OF THE PENDLETON COUNTY FISCAL COURT AUTHORIZING JUDGE EXECUTIVE HENRY BERTRAM TO ACCEPT A HAZARD MITIGATION GRANT FROM THE KENTUCKY OFFICE OF HAZARD MITIGATION IN THE AMOUNT OF \$ 509,400 TO CONSTRUCT A SAFE ROOM, TO PROVIDE A LOCAL PROJECT MATCH UP TO \$ 67,000 AND TO SIGN ALL DOCUMENTS REQUIRED TO FULLY EXECUTE THE PROJECT.

WHEREAS; the Pendleton County Fiscal Court is committed to providing support for emergency services in the county, and

WHEREAS; constructing a safe room will greatly improve emergency services in the county, and

WHEREAS; the county is the project fiscal agent and must execute all project contracts and ensure all local funding is secure,

NOW THEREFORE BE IT RESOLVED, that the Pendleton County Fiscal Court hereby authorizes Judge Executive Henry Bertram to provide up to \$ 67,000 in local project match and to sign all contracts required to fully execute the project.

Done at a regularly scheduled meeting of the Pendleton County Fiscal Court held on March 25th, 2014.

ATTEST:

Henry W. Bertram
County Judge/Executive

Fiscal Court Clerk

In Re: Second Reading of an Ordinance Creating Smoke Free County Buildings

Jeff Dean read an ordinance pertaining to creating Smoke Free County Buildings, whereby Squire Whaley made a motion to approve this as the second reading of this ordinance, seconded by Squire Veirs, motion carried. Ordinance will become effective upon publication.

COMMONWEALTH OF KENTUCKY

COUNTY OF PENDLETON

PENDLETON COUNTY FISCAL COURT

ORDINANCE NO. _____

AN ORDINANCE OF AND BY THE PENDLETON COUNTY FISCAL COURT DIRECTING THAT FROM THE ADOPTION OF THIS ORDINANCE FORWARD, ALL PENDLETON COUNTY FISCAL COURT OWNED BUILDINGS SHALL BE TOBACCO SMOKE FREE BUILDINGS.

Whereas, statistics show that the use of tobacco smoke products and the effects of second hand tobacco smoke are an enormous health risk to our citizens, and

Whereas, community surveys show that an overwhelming majority of the citizens of Pendleton oppose the affects of tobacco smoke in confined areas, and

Whereas, the Fiscal Court feels that it has a responsibility to protect, where possible, the health of its citizens, and

Whereas, the Fiscal Court has complete authority over all of its buildings, where governmental services are provided.

Now Therefore, be it ordained by the Fiscal Court of County of Pendleton, Commonwealth of Kentucky:

SECTION 1

Smoking in County Buildings

From the adoption date of this ordinance, no person or persons shall smoke tobacco products within the walls of any county owned building. Smoking on county property may be permitted in designated areas, other than public entrances to all buildings.

SECTION 2

Severable

The provisions of this ordinance are severable. If any sentence, clause or section or part of this ordinance or the application thereof to any particular case is for any reason found to be unconstitutional, illegal, or invalid, such unconstitutionality, illegality, or invalidity shall not affect or repeal any of the remaining provisions, sentences, clauses or sections or part of this ordinance, it being the legislative intent of this body to ordain and enact such provision, section, paragraph, sentence, and part hereof separately and independently of such other provision.

SECTION 3

Violations

Any person or persons found to be smoking in any county building shall be asked to disburse immediately and/or asked to leave the building where he or she is found to be smoking. Any person or persons found continually disobeying the request to disburse from smoking may be fined no less than \$50.00 dollars

This ordinance shall become effective upon passage and publication.

GIVEN FIRST READING AND ORDERED PUBLISHED BY THE PENDLETON COUNTY FISCAL COURT ON THIS THE 11 DAY OF March, 2014.

APPROVED BY THE PENDLETON COUNTY FISCAL COURT AND ORDERED RECORDED ON THIS 25 DAY OF March, 2014.

ATTEST: _____

Vicky King
Pendleton County Fiscal Court Clerk

Henry W. Bertram
Pendleton County Judge/Executive

First reading: March 11, 2014

Second reading: March 25, 2014

Passage: March 25, 2014

Publication: April 1, 2014

In Re: Bill Mitchell's Annual Report

Bill Mitchell presented his annual report for 2013 to the court, whereby Squire Fogle made a motion, seconded by Squire Whaley to accept this report as presented, motion carried.
2013

ANNUAL REPORT

A report of activity presented to the Pendleton County Community

JANUARY 1, 2013 TO DECEMBER 31, 2013

The Pendleton County Community Development Office is a collaborative partnership among the cities of Butler and Falmouth, the Pendleton County Fiscal Court, the East Pendleton County Water District, the Pendleton County Water District and the Northern Kentucky Area Development District. The purpose of this partnership is to provide the Pendleton County community with fulltime professional community and economic development planning staff to assist leadership in enhancing the quality of life for all Pendleton County residents.

In 2013, under the leadership of local elected officials, the partnership and that of the Northern Kentucky Area Development District, community development office staff concentrated efforts in the areas of overall community and economic development, federal and state grant procurement and management, urban renewal planning and strategic planning. Project activities ranged from education enhancement and workforce development, recreation development, emergency services infrastructure redevelopment, countywide water and sewer line construction and regional sewer system development to Brownfield and urban renewal planning and development, civic engagement and leadership development.

Areas of emphasis are comprised of two main components; Administrative Activities pertaining to office structure and staff support and Programmatic Activities pertaining to project development and administration.

ADMINISTRATIVE ACTIVITIES:

- **Vision 2015 Economic Development Competitiveness Working Group:** Representing Pendleton County as a member of this group. Pendleton County is the only one of the five southern Northern Kentucky counties to be represented on this group. Meets monthly to discuss issues regarding business and industrial recruitment, workforce development, economic diversity, infrastructure improvement and education reform which will make the Northern Kentucky region more economically competitive.
- **Gateway Community and Technical College:** Served as chair and lead staff to the Gateway Community and Technical College Focus Group planning committee.
- **Training:** Attended several state training programs to maintain certification as a planning project administrator. Additional trainings included community and economic development planning. Additionally, conducted training sessions with colleagues at NKADD regarding planning and grant procurement and administration.
- **2014 Pendleton County Youth Leadership Program:** Serves as chair and lead staff to this newly developed program.
- **Data Source:** Served as a clearinghouse for both data and general informational requests from local community leaders as well as from community and economic development interests outside the county.

1.

- **Staffed Meetings:** Attended meetings of the Falmouth City Council, Pendleton County Fiscal Court, Pendleton County Water District, Butler City Council and the East Pendleton Water District as needed.
- **Board Service:** Served as board member of the Extension Council Foundation.
- **Pendleton County League of Women Voters:** Worked with community leaders and residents to promote the Pendleton County Chapter of the National League of Women Voters.

Project activities included the following:

- Foster support for the local chapter,
- Form the first board of directors,
- Recruit membership,
- Prepare the Pendleton County League by-laws and non-partisan policy,
- Coordinate the annual meeting of the Pendleton County League,
- Create budget and contribution protocols,
- Assist leadership with the development of programs to promote leadership and civic engagement,
- Currently serving as Treasurer of this group.

PROGRAMMATIC ACTIVITIES:

- **East Pendleton Water District FY – 2013 Tank Rehabilitation Project:** Administered a FY – 2013 Kentucky Infrastructure Authority (KIA) Fund B loan funded through the Department for Local Government. The loan was to fund the painting and rehabilitation of a water tank located on KY 159 in the district.

Project activities included the following:

- Prepare the financial management file system,
- Prepare the construction management system,
- Assist with bid preparation and bid opening,
- Assist with the pre-construction meeting,
- Prepare final project invoice and draw request to KIA,
- Conducted Closeout Monitoring.

Total project cost was \$ 199,800. KIA loan amount was 160,000. Project is complete.

- **Water Project Planning:** Submitted one additional KIA 2020 water project profile to the Northern Kentucky Area Development District for the development of future infrastructure improvements throughout the county.

2.

- **Pendleton County FY – 2013 Safe Room Grant:** Prepared and submitted a FY – 2013 Kentucky Emergency Management Hazard Mitigation Grant application for \$ 509,400 to the Kentucky State Hazard Mitigation office to fund the construction of a 4,000 s.f. safe room on property located behind the existing Emergency Operation Center. This project was prepared with the assistance of Mike Moore, Director of Emergency Management.

Project activities included the following:

- Assemble all relevant financial and engineering information,
- Assist engineers with the development of project cost estimates,
- Prepare a pre-application for submission to the Kentucky Office of Emergency Management,
- Prepare a full application for submission to the Kentucky Office of Emergency Management,
- Prepare a project *cost benefit analysis* to determine project competitiveness for funding consideration,
- Perform an environmental review of the project site,
- Prepare all project mapping,

- Prepare grant management file,
- Prepare grant contract documents,
- Procure Architect to perform final design.

Total project cost is \$ 509,400. Grant was funded.

▪ **Pendleton County FY – 2014 Community Recreation / Sherriff's Office Facility:**

Project was to propose constructing a 5,000 to 7,000 s.f. facility out of the flood plain in the county to house the offices of the Pendleton County Sherriff, the Pendleton County Recreation Commission, Senior Citizens of Pendleton County and other public services.

Project activities included the following:

- Assemble all back ground information on the needs of all three interested groups,
- Invite an architect to meet with the community to discuss how a facility may be constructed,
- Research funding options including RD and CDBG,
- Research buildings of similar size in adjacent communities,
- Bring all key stakeholders to meetings to discuss the project need and how to finance the improvements.

The central point to financing the project was to determine how much the Pendleton County Recreation Commission would be able to contribute on an ongoing basis per month. Ultimately, the recreation commission determined they could not commit financially to this project long term, thus, further study was suspended.

Project is currently inactive.

3.

▪ **Pendleton County FY – 2013 FEMA EOC Console Equipment Addition Project:**

Assisted Mike Moore, Emergency Management Director, with release of funds of a \$ 150,000 FEMA grant. These funds were the remains of a larger project funded by FEMA for Pendleton County in 2009. Funds were used to add new console equipment to the EOC, especially as related to communications.

Project activities were limited and included the following:

- Release from Environmental Review,
- Limited logistical assistance related to scheduling of construction and installation of the equipment,
- Monitoring of the wage decision.

Total project cost was \$ 150,000. Mike Moore, EMD conducted project closeout.

▪ **Pendleton County / Northern Pendleton VFD Joint FY – 2013 FEMA Generator Grant:** Prepared and submitted a FY – 2013 Federal Emergency Management application for \$ 50,825 to the Kentucky Office of Hazard Mitigation to fund the purchase of an 80 KW generator for the Northern Pendleton VFD. This grant and project was prepared in cooperation with Mike Moore, Director of Emergency Management and staff of NKADD.

Project activities included the following:

- Develop project budget,
- Secure project wage decision,
- Secure firm bid from project electrical contractor,
- Secure firm bid from project generator supplier. Wayne supply was chosen to supply the project generator because they are on the state bid list as an authorized Caterpillar dealer,
- Other items as required to secure funding commitment.

Total project cost is \$ 50,825. Grant was funded.

▪ **Pendleton County / Butler Joint FY – 2009 Northern Pendleton Regional Wastewater Treatment Facility Project:** Assisting Pendleton County and the leadership team, which includes fiscal court and city of Butler leaders, with planning, development and administration of a comprehensive sewer project for the city of Butler. Funding sources included a \$ 1,025,000 HB 608 grant from KIA.

Project has undergone several revisions since funding was secured in early 2009. Following is an outline of milestone activity:

2010 Project Scope

- Extend sewer lines from KY 177 and U/S. 27 south to Boston,
- Rehabilitate the existing wastewater treatment plant or find a location to construct a new waste water treatment plant,
- Extend sewer on Short Lock Road from KY 177 to U.S. 27.

4.

In winter of 2011 / 2012, the leadership planning team concluded that this current project would not serve enough residents to cash flow. Thus, this project scope was revised by the leadership team to include the following:

Early Spring 2012 Project Scope

- Rehabilitate the existing wastewater treatment plant to include new drying beds, advanced filtration and disinfection and upgrade of the mechanical systems,
- Extend sewer on Short Lock Road from KY 177 to U.S. 27,
- Purchase new water meters for the city of Butler to better account for the use of water by residents,
- Purchase 2.5 acres behind the sewer plant for future development.

Thus, during January of 2012, the engineers began final design for a sewer line on Short Lock Road, final design for the Butler treatment plan to accommodate drying beds, an archeological assessment on the drying beds site and associated environmental studies in the drying beds field.

In spring of 2012, there was a leadership change in the mayor's office in the city of Butler due the resignation of the previous mayor. The project was put on hold by the new administration while they considered moving the project in a different direction.

Although the Short Lock Road portion of the project had been a part of the original regional sewer project dating back to the initial sewer project profile approved by the General assembly in 2009, the decision was made in the summer of 2012 to discontinue final design on Short Lock, at least for the time being, until the final costs of the sewer plant portion of the project were known. Further, it was determined that a used backhoe would be purchased with project funds for use to clean the drying beds, if this option was to remain as a part of the project.

Summer 2012 Project Scope

- Rehabilitate the existing wastewater treatment plant but reserve judgment on new drying beds until further study was complete,
- Buy a backhoe to assist with cleanup at the plant,
- Discontinue final design on Short Lock Road,
- Purchase new water meters for the city of Butler to better account for the use of water by residents,

In November of 2012 as final preparations were being made by the county and the engineers to reengage in final design on the overall project, there was another leadership change in the mayor's office in the city of Butler due to election. Upon the change in administration, the judge and project administrator met with the new mayor to review, among other things, the scope of work on the regional sewer project.

The mayor and judge decided to continue to meet to determine exactly what the next steps in the project should be, what should be included and not included and to meet with both the fiscal court and city council to ensure all were in agreement regarding how to proceed.

5.

After extensive review involving the city, Pendleton County Fiscal Court, GRW Engineers and the project administrator, the following project scope of work was agreed to via resolution:

January 2013 Project Scope

- Discontinue drying beds option in lieu of ultraviolet disinfection,
- Reconstruct package treatment plants,
- Purchase backhoe,
- Repair existing package treatment tanks,
- Purchase new water meters for entire city,

As with the two previous project scope changes, KIA would need to be consulted regarding this new scope. Project activities involved in revising this new scope of work to meet the requirements of KIA included the following:

- Develop new detailed cost estimates for project activities,
- Revise the engineering contract to reflect the new revised scope of work,
- Revise the KIA Sewer Project Profile,
- Revise the budget,
- Resubmit the project scope of work to KIA for review and approval.

2013 Scope of Work

For 2013, the following project activities occurred:

- **Analysis of the package treatment tanks.** It was determined the treatment tanks had not been properly inspected for corrosion. This was performed in June and it was subsequently determined the tanks were in need of repair. This action was now included in the project. Staff coordinated this activity,
- **The city purchased a backhoe.** Staff coordinated the reimbursement for this expense,
- **Installation of new water meters.** New water meters were installed throughout city.

Project activities included the following:

- Develop project scope of work,
- Prepare bid for meters,
- Prepare contract documents,
- Bid the meters, accept bids and award contract,
- Monitor construction,
- Prepare monthly draws for payment,
- Address construction issues,
- Prepare monthly reporting to KIA,
- Amend the project budget,
- Deal with the issue of the contractor not completing the contract in a timely manner. Issue was resolved to the city's satisfaction,
- Conduct a draw request for funds to pay contractor.

6.

While dealing with the installation of the new water meters, it came to the city's attention that several sewer collector lines were experiencing significant I&I. This was most acute during storm events. The decision was made to again amend the project to correct the most serious of these I&I problems. In doing so, however, it was decided that since some I&I would still occur and may harm the proposed ultraviolet disinfection system if the plant flooded and also since approximately \$ 66,000 of unbudgeted project funds would now need to be diverted to deal with the I&I issue, it would be best to discontinue to propose ultraviolet disinfection and stay with a conventional chemical disinfection system. If the plant flooded, the chemical system would not be harmed and this alternative would save approximately \$ 85,000, which may be needed just to rehabilitate the sewer plant.

As with the four previous project scope changes, KIA would need to be consulted regarding this new scope. Project activities involved in revising this new scope of work to meet the requirements of KIA included the following:

- Develop new detailed cost estimates for project activities,
- Revise the engineering contract to reflect the new revised scope of work,
- Revise the KIA Sewer Project Profile,
- Revise the budget,
- Resubmit the project scope of work to KIA for review and approval. Once KIA approved the amended scope, the county was given approval to proceed.

- **Elimination of I&I in the sewer collection system.**

Project activities included the following:

- Develop project scope of work,
- procure a plumbing company to camera the lines in question to look for leaks,
- Consult with GRW regarding the best course of action to pursue,
- Prepare contract documents,
- Bid the sewer lines, accept bids and award contract,
- Monitor construction,
- Prepare monthly draws for payment,
- Address construction issues,
- Prepare monthly reporting to KIA,
- Conduct a draw request for funds to pay contractor.

As of December 20th, with the backhoe purchased, new water meters purchased and installed and the most serious collection system I&I issues addressed, the city and county instructed GRW to complete final design of the sewer plant and move to bid as soon as possible.

Total project cost is \$ 1,025,000. Project is ongoing.

- **Pendleton County / Falmouth Joint FY – 2014 River Gauge and Inundation Map Program Grant:** Prepared and submitted a FY – 2014 River Gauge and Inundation Map grant application for \$ 32,000 to the Department for Local government (DLG).

7.

These funds will be combined with \$ 72,000 from the Army Corp of Engineers and \$ 8,000 in local funds to install a river gauge on the Licking River and prepare a comprehensive flood mapping program.

Project activities included the following:

- Obtain release of funds of the \$ 32,000 in DLG funding,
- Prepare project budget,
- Prepare financial management system.

Total project cost is \$ 115,000. Project is ongoing.

- **Pendleton County / Falmouth Joint Gateway Community and Technical College Project:** Project scope transitioned from researching the feasibility of establishing a campus of Gateway Community and Technical College (Gateway) in Falmouth to working with Gateway and the community to programmatically establish classes and a permanent presence in the community.

2013 Activities Included the Following:

March

- Adam creates comparison chart of cost to go to Gateway vs. a traditional 4 year liberal arts college or university,
- Judge Bertram calls all industries to invite them to April 29 Ron Crouch presentation. Mr. Crouch presented data on the need for education in a global economy,
- Focus group meets to discuss Ron Crouch presentation,
- Adam and Karen host a college day meeting at the high school in which they discuss Gateway and NKU with all sophomores and juniors.

April

- Judge personally calls all businesses and industries to invite them to Ron Crouch presentation,
- The Focus group hosts a community/business and industry reception at 6:30 p.m. Ron Crouch with the Education and Workforce Development Cabinet makes a presentation entitled "how enhancing the education level of a community can promote economic development". Following the presentation, Adam and Karen discussed the proposed fall schedule and answer financial aid questions. Angie Taylor presented regarding the needs industry may have for training,

8.

May

- Adam and Jo advertise Gateway at all schools,
- Adam and Karen host another evening Gateway information presentation specifically designed for parents of graduating seniors,

- Article appears in Outlook informing adult non-traditional students that a \$ 200 scholarship is available to them and discusses the importance of them continuing their education,
- To this point, Adam's position of Gateway student services representative has been part time temporary. Now the decision is made by the Pendleton County Fiscal Court and Gateway that this position will become permanent,
- A Round Table discussion is sponsored by the Focus Group with Jefferson Community College and Maysville KCTCS directors.

July

- Adam accepts a permanent full time position with Gateway in Pendleton County as the Pendleton County Gateway Student Services representative,
- Staff applies to the R.C. Durr Foundation for a grant for \$24,000 to cover the local share of funding for this position.
The grant was approved for 24,000.

September

- The fall 2013 semester begins. Enrolment now has reached 106 students, most of which are high school students,
- The Fiscal Court, city of Falmouth and School Board begin to discuss renovating the computer lab, one office and a classroom at the Falmouth School Center and converting this space into classroom space for Gateway classes. Total Project cost is 34,219. Project is funded as follows:

City and county funding;	\$ 5,877
School Board funding;	\$ 3,342
Gateway funding;	\$ 25,000

Total Project Cost is \$ 34,219

- Adam announces he is leaving the Gateway project to take a full time teaching position at the high school.

November:

- Jason Moore is hired by Gateway to assume Adam's position. He and Bill begin to discuss planning for the spring semester,

9.

For the spring 2013 semester, student enrollment in Pendleton County was low at 15 students. Marketing efforts had been vigorous, yet to that point, the project had failed to produce recognizable student interest. Educators for both Pendleton County and Gateway suggested that marketing campaigns were not properly aligned with the Gateway semester class schedule. With the ability of Adam and the Focus Group to concentrate marketing early in 2013 for the fall 2013 semester, however, councilors and staff at Pendleton County High School had more time to work with juniors, seniors and non-traditional students wishing to take college classes. This effort succeeded and for the fall of 2013, enrollment at Gateway reached 106 students.

Project is ongoing.

- **Pendleton County / Falmouth Joint FY – 2012 Pendleton County Public Library Development Project:** Administered the development of a new 13,400 s.f public library for Pendleton County utilizing \$ 500,000 in Community Development Block Grant (CDBG) funds combined with \$ 2,945,500 in local bonds. Project involved a prime contractor and approximately 24 subcontractors. For 2013, project activities included verifying compliance with the Davis Bacon Act, the Copland Anti-Kickback Act and other state and federal wage compliance laws. Challenges involving the proper documentation of payroll compliance have been continual throughout the project.

CDBG related project activities included the following:

- Receive and review all monthly payrolls,
- Develop procedures to ensure that all wage compliance issues were addressed properly. Project experienced 19 separate cases of contractor underpayment requiring restitution,
- Of the 12-month draw cycle, draw funds were held in excess of 60 days past the regular draw date on 13 separate occasions due to missing, incorrect or non-existent payroll reporting documentation. Two draws were held for 70 + days,
- Conduct a monitoring of wage compliance in February with Department for Local Government staff. No findings were issued,
- Conduct a full project monitoring in May by Department for Local Government staff of all program requirements. This monitoring involved compliance of; payroll, EEO, Fair Housing, Environmental Review, financial management, contracting, procurement and construction management. No findings were issued,
- Prepare and submit monthly CDBG draws to the Department for Local Government for payment to the contractor,
- Maintain the project budget,
- Conduct monthly wage interviews on site with workers,
- Conduct several training sessions with support staff at the NKADD regarding the administration of this CDBG project,
- Due to continuing issues regarding payroll compliance, project required a grant agreement amendment time extension of six (6) months,
- Conduct project closeout.

Total project cost was \$ 3,445,500. Project is complete.

10.

- **Pendleton County / Falmouth Joint Tourism Commission Development:** Conducted research regarding the development of a countywide tourism commission.

Project activities included the following:

- Establish relationship with planning and support staff of the Kentucky Tourism, Arts and Heritage Cabinet,
- Visit the Five County Consortium of tourism directors from the Mammoth Cave area,
- Obtain a data base of tourism directors in Kentucky,
- Invite tourism directors from Shelby County, Winchester and Georgetown/Scott County to a community tourism roundtable discussion. Elected officials and community leaders were invited to discuss the economic development potential of creating a tourism office.
- Obtain job descriptions, director's duties and other support information regarding the establishment of the commission,
- Create an advisory council to research the potential of creating a commission. The primary task of the council was to determine if enough current and potential activities existed in the county to warrant the creation of tourism in Pendleton county. Toward that end, the council was surveyed regarding events to be included.

Project is discontinued at this time due to lack of funding.

- **2013 Pendleton Youth Leadership Program:** Created the Youth Leadership Program for the community. Purpose of the program is to educating youth about what it means to be a good leader and civically engaged in their community.

Program activities include the following:

Programmatic:

- **Career Development:** The purpose of the program is to bring youth into the leadership program and mentor them to better understand the dynamic of civic engagement and its importance to the community. This understanding will in turn enable these youth to become leaders not only among their peer group but also in the community at large.
- **School Affiliation:** The program will be affiliated with the school system. School counselors will be part of the Leadership Planning Council. The program will start in October and run through the next October with a graduation ceremony at the end of October.
- **Number of Participants and Admissions Process:** The program is open to all students in their sophomore year of high school.
- **Leadership Planning Council:** The Leadership Planning Council will consist of several leaders from the community. Their role will be to guide the program, establish curriculum and coordinate activity.

11.

Curriculum:

- **Leadership Assessment:** Each leadership class takes a leadership assessment at the orientation meeting as a control standard. Then, this same class takes the same test again at graduation to determine to what degree they have increased their understanding and awareness of what comprises a good leadership.
- **Attend Elected Officials Meetings:** Attend local elected officials meetings in the second and third month of the program. The class will go to a fiscal court caucus meeting, a Falmouth and Butler city council meeting and a school board meeting.
- **Elected Officials Day:** Have all students attend Elected Officials Day once they have completed attending the Elected Officials Meetings. Having attended Elected Officials meetings first, students will better understand the role elected leaders play in the community and can in turn ask more in depth questions regarding each elected leader's perspective on leadership.
- **Career and Leadership Day:** Have speakers from various professions speak to youth about their professions, how they came to their line of work and what leadership means to them from their profession's perspective.
- **Fall 2014 Pendleton County Community Forum:** Conduct the Fall 2014 Pendleton County Community Forum. Each class will begin in October and will spend the year planning the next fall's Pendleton County Community Forum. In October, they will conduct the forum, with assistance from the Leadership Planning Council.
- **Do Class Project.**

Specifics:

- The class will divide into two groups and pick the top two needs presented at the annual Pendleton County Community Forum to address,
- Each student will pick the need they want to address from the top two and spend the entire year developing strategies to address the need,
- Students will be mentored by a member(s) of the Leadership Planning Council,

- Students will work independently and call for assistance when needed,
- Near the end of the leadership class year, the two groups will present their findings and recommendations to the government body they determine can best address need,
- The annual fall community forum will be the first curriculum event each new class participates in after orientation.

Project is ongoing.

12.

- **2013 Community Forums for Falmouth and Butler:** Developed and coordinated the 2013 community forums for Falmouth and Butler. These planning forums are conducted on an annual basis to bring elected leadership and community leaders together to discuss common priorities for planning and project development in the upcoming fiscal year. Administrative activities involved developing a planning process, procuring a moderator, developing an invitees list, developing an agenda and promoting the event to local elected leadership and the community via fiscal court and city council meetings, direct mail and through the media.

For 2013, the top ten (10) priority need for the city of Falmouth were as follows:

	Votes	
1. Address drug problem,		43
2. Recruit an urgent care facility,		27
3. Hire a tourism director,		12
4. Foster more community pride,		10
5. Gain Control of our housing vouchers,		10
6. Create more jobs,	8	
7. Elimination of slum and vacant buildings,		7
8. Create academic programs and workforce skills through Gateway	7	
9. West side and Montjoy Street Urban renewal,		6
10. Four lane U.S. 27 through Pendleton County,		5

For 2013, the top ten (10) priority need for the city of Butler were as follows:

	Votes	
1. Address drug problem,		34
2. Increase GED and Adult Education learning in Butler,		18
3. Address blighted properties,		18
4. Form civic pride committee,		18
5. Add one additional police officer,		17
6. Increase jobs and industry in Butler,	13	
7. Develop a senior center in Butler		9
8. Develop a library annex in Butler		6
9. Repair and replace storm sewer grates		6
10. Market Butler		6

- **Pendleton County Demographic Trends Analysis:** Began the effort to update the 2005 Pendleton County Demographic Trends Analysis now that 2012 data is beginning to be released by the U.S. Census. The purpose of analysis is to determine Pendleton County's potential to expand its economic base, which includes commercial, industrial and service sector development. It contains a general overview of key demographic, economic and locational data sets which not only provide county leadership with information critical in policy making but it also provides entrepreneurs, developers and investors with tools useful in determining the county's potential as a commercial, industrial or service sector business location.

13.

In addition, this analysis is designed to contain information useful to existing business owners who seek opportunities to expand their business in the county. Each data set presented in this analysis was strategically selected for review because of its unique role in contributing to quality of life. For 2013, study began to identify new sources of data including American Community Survey.

The socio-economic data sets include the following:

- Population Growth
- Age Group of Population
- Income
- Net Migration
- Poverty
- Bank Deposits
- Distribution of Employment
- Unemployment Rate
- Journey To Work
- Total Wholesale Trade
- Educational Attainment
- Housing
- Family Size
- Crime Rate
- Farm size
- Number of Physicians

The retail trade data sets include:

- Total Retail Trade
- Sector Sales In Food And Beverage
- Sector Sales In Food Service and Dining
- Sector Sales In General Merchandise
- Sector Sales In Home Furnishings and Appliances
- Sector Sales In Motor Vehicle and Parts

The Analysis is scheduled to be completed in June of 2014. A *Power Point* of the data will be presented to the Pendleton County community and other interested jurisdictions beginning in July.

Project is ongoing.

- **Pendleton County Industrial Site Visits:** Joined the NKADD and the Pendleton County Industrial Authority in conducting site visits with 13 area businesses and industries. Prepared a questioner outlining issues facing business and industry including job training, incentives for job creation and infrastructure improvements. Marianne Roseberry assumed the lead role in organizing the visits on behalf of NKADD.

Visits are ongoing.

14.

- **Falmouth FY – 2013 Klee Property Pre-development Development Planning:** Project involved performing due diligence regarding the redevelopment of the Klee property. The property is 38 acres located south of Falmouth at the intersection of U.S. 27 and KY 22. Due diligence regarded performing research to determine the best approach to capture developer interest in buying and redeveloping the parcel for commercial and institutional use. Research centered on issuing a Request for Proposals (RFP).

Project activities included the following:

- Research several similar RFPs from communities around the region,
- Met with economic developers in the region regarding their views on what a how to attract a potential developer,
- Research obstacles to developing the property. It was determined the developer of the original Dollar General site improperly installed the access road and sidewalks to the property. This issue will need to be addressed before a quality developer will consider joining the city in developing the site.

The project is on hold until the access road and sidewalk issues are addressed.

- **Falmouth FY – 2014 Safe Routes To School Grant:** Prepared and submitted a FY – 2014 Safe Routes to Schools grant application for \$ 249,000 to the Kentucky Transportation Cabinet for funding consideration. These funds will be used to install a 5-foot wide sidewalk on the north side of Robbins Avenue from KY 22 to U.S. 27. Further, the culvert over the creek on Robbins Avenue at Elm Street will be rebuilt and enlarged to carry twice its current capacity of water.

Project activities included the following:

- Meet with city to determine their interest in pursuing this grant opportunity,
- Meet with staff in Frankfort to determine project parameters and fundability,
- Procure services of an engineer to determine design feasibility and then to prepare engineer's estimate and preliminary design drawings,
- Prepare project budget,
- Prepare all environmental review requirements for submission to the Kentucky State Clearinghouse,
- Prepare application and submit to the transportation Cabinet in Frankfort.

Total project cost is \$ 249,000. No funding decision has yet been made.

- **City of Butler FY – 2013 Area Development Fund Grant:** Prepared and submitted a FY – 2013 Area Development Fund (ADF) grant application for \$ 7,371 to the Department for Local Government to assist with funding the purchase of a used Cruiser for the Butler Police department. Grant was to be matched with \$ 20,354 in local funds for a total project cost of \$ 27,725.

15.

Project activities included the following:

- Assemble all relevant financial information and vehicle specifications,
- Prepare and place grant announcement in Falmouth Outlook,
- Prepare grant application for submission to the Department for local government,
- Prepare project *Statement of Assurances*,
- Prepare and submit *Project Completion Report* to the Department for Local Government.

Total project cost was \$27,725. Grant was funded and project is complete.

Total Dollar Amount of Grant Funds Secured This Year:	Total Dollar Amount of Grant and Loan Funds Under Administration This Year:
\$ 572,771	\$ 5,397,250

16.

THE

PENDLETON COUNTY COMMUNITY DEVELOPMENT OFFICE

STAFF RESUME

BILL MITCHELL, E.D.P.

PENDLETON COUNTY COMMUNITY DEVELOPMENT OFFICE

P.O. BOX 171

135 WEST SHELBY STREET

FALMOUTH, KENTUCKY 41040

859-654-4567

EMPLOYMENT:

2001 – PRESENT

NORTHERN KENTUCKY AREA DEVELOPMENT DISTRICT

PENDLETON COUNTY COMMUNITY DEVELOPMENT OFFICE

Serves as Community Development Director for the Pendleton County Fiscal Court, the cities of Butler and Falmouth and the East Pendleton and Pendleton County water districts and provides administrative support service to planning and community service agencies in Pendleton County.

Responsible for a progressive and proactive county wide consolidated approach to community and economic development emphasizing strategic planning, assessment and implementation, workforce development, leadership development, downtown redevelopment, water supply planning, infrastructure development and grant management regarding all aspects related to grant acquisition, program start-up, construction monitoring and state and federal regulatory compliance.

Additional objectives pertinent to quality of life issues are also addressed including, but not limited to, creation and support for local historic preservation activities, parks and recreation development and development and implementation of various strategic planning initiatives. Primary responsibilities include the following:

- Infrastructure development,
- Educational enhancement,
- Market analysis,
- Coordination of research for county wide economic development planning initiatives,
- Ongoing communication with local government leadership and other local decision making organizations regarding community and economic development issues of relevance to Pendleton County,
- Serving as clearinghouse for issues involving state and federal government funding,
- Grant preparation and project administration including the following:
 - Community Development Block Grant Programs,
 - Transportation Cabinet: TEA-21 Enhancement Grant Program,
 - Kentucky Infrastructure Authority Grant Program,

- FEMA and Homeland Security Grant Program,
- Rural Development Grants and Loans,
- Recreational Trails Grant Program,
- Land and Water Conservation Fund Grant Program,
- Environmental Protection Agency Grant Program,
- Kentucky Area Development Fund Grant Program.

1999 – 2001

BOYLE COUNTY COMMUNITY DEVELOPMENT COUNCIL
EXECUTIVE DIRECTOR

Served as Executive Director of the council and provided overall programming and administrative coordination and support service to all local governments, the Chamber of Commerce, the Danville Renaissance and Main Street Program and all local social service agencies.

Responsible for a progressive and proactive county-wide consolidated approach to economic development and community planning emphasizing commercial expansion and existing industry retention, strategic planning, economic development market analysis, assessment and implementation, downtown redevelopment, infrastructure development and grant management including all aspects related to grant acquisition, program start-up, construction monitoring and State and Federal Regulation Compliance.

Additional objectives pertinent to quality of life issues were also addressed including leadership development, creation and support for local historic preservation activities, parks and recreation development, educational development and implementation of various strategic planning initiatives. Primary responsibilities included the following:

- Community development goal setting, program development and implementation,
- Overall operation and management of the corporation including finance, personnel management and board communications,
- Administrative and technical planning support to the Chamber and Heart of Danville including:
 - Strategic planning,
 - Development and maintenance of the annual planning cycle,
 - Grant writing and other research assistance.

1993 – 1999

CARROLL COUNTY COMMUNITY DEVELOPMENT CORPORATION
EXECUTIVE DIRECTOR

Served as Executive Director of the Corporation and provided staff and technical assistance to jurisdictions in Carroll County in areas pertaining to community and economic development. Primary responsibilities included the following:

- Coordinated and implemented a systematic program approach to commercial and industrial business expansion and new business development,
- Commercial development research, planning and recruitment,
- Overall community assessment and strategic planning,
- Downtown redevelopment and infrastructure improvement,
- Developed and provided program administration for transportation, housing and infrastructure improvements projects utilizing Community Development Block Grant, Kentucky Infrastructure Authority and Rural Development Administration funding,
- Coordinated additional federal and state grant programs including the Land and Water Conservation Fund, Kentucky Heritage Council Grants and the Kentucky Renaissance grant program.

1985 – 1993

NORTHERN KENTUCKY AREA DEVELOPMENT DISTRICT
COMMUNITY DEVELOPMENT PLANNER

Served as Community Development Planner to cities and counties in the eight county Northern Kentucky Area Development District including Pendleton County. Additionally, served as staff to the Northern Kentucky Agriculture Committee, the Northern Kentucky Building Inspectors Association and as staff coordinator of the Kentucky Area Development Fund Grant Program.

Performed planning tasks related to transportation, infrastructure, land use, agriculture, housing and comprehensive plan development. Primary responsibilities included the following:

- Infrastructure and community project development,
- Grant preparation, acquisition and project management,
- Transportation data collection,
- Map preparation,
- Park planning and development,
- Aspects of overall community strategic planning and development.

EDUCATION:

1996 – PRESENT

Department for Local Government, Division of Federal Grants
Certified as Community Development Block Grant Administrator

1994 – 1996

Economic Development Institute, University of Oklahoma
Graduate of Four Year Economic Development Certification Program

1993 – 1994

National Development Council, Certification Program
Certified as Economic Development Finance Professional, (E.D.P.)

1984 – 1985

Western Kentucky University
Masters of Public Service in Community Planning and Economic Geography

1977 – 1981

Eastern Kentucky University
Bachelor of Arts in Political Science

In Re: Award Blacktop Bid for 2014 Blacktop Season

Judge Bertram presented the bid for Emulsions from Marathon Ashland, being the only bid received Squire Whaley made a motion, seconded by Squire Fields to accept the bid as presented, motion carried.



Marathon Petroleum Company LP

Alexander J. Peiffer
11001 Brower Road
North Bend, OH 45052
Mobile (513) 505-2665
Office (513) 941-4400
FAX (513) 941-4274

March 21, 2014

RE: Pendleton County Emulsified Asphalt Bid

The Honorable Judge Henry W. Bertram
Pendleton County Judge/Executive
233 Main Street, Room 4
Falmouth, KY 41040

Dear Judge Bertram,

It is my pleasure on behalf of Marathon Petroleum Company LP to submit for your consideration and approval a bid for Emulsified Asphalt Products for the Pendleton County Road Department.

<u>Product</u>	<u>Delivered Price to Pendleton County</u>
RS-2	\$ 1.993 per gallon
SS-1H	\$ 1.993 per gallon

All of the above materials meet or exceed the Kentucky Department of Transportation Specifications for Road & Bridge Construction (current addition). Certifications shall be supplied upon request.

All prices are quoted on a per gallon basis delivered to Pendleton County in full transport quantities as directed by the Pendleton County Road Superintendent. These prices are firm for the period of the bid.

Orders for all products should be placed by calling the North Bend Terminal at (513) 941-4400 Ext. 222.

We look forward to working with Pendleton County, in addition to providing your county with high quality asphalt emulsion products and services.

Sincerely,

Alexander J. Peiffer
Territory Manager
Marathon Petroleum Company LP

Judge Bertram presented the court with two bids for blacktop FOB, whereby Squire Veirs made a motion to accept the bid from Mago, seconded by Squire Fogle, motion carried.

Eaton Asphalt Paving Co Inc.
136 East Frogtown Rd.
Walton, KY 41094

Pendleton Co Fiscal Court FOB Asphalt Pricing 2014

	FOB Surface		FOB Base	
Richwood Plant	\$ 62.00	TN	\$ 60.00	TN
Covington Plant	\$ 62.00	TN	\$ 60.00	TN



1551 E. JOHN ROWAN BLVD.
P.O. BOX 669 • BARDSTOWN, KY • 40004
(502) 348-3923 • FAX: (502) 348-0440

March 21, 2014

Pendleton County Fiscal Court
County Judge Executive
Hon. Henry Bertram and
Pendleton County Magistrates
233 Main Street
Palmouth, KY 41040

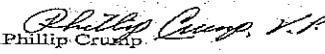
Gentlemen:

As per your request to receive seal bids for bituminous concrete surface, base, and binder, F.O.B. Butler Plant, Mago Construction Company is pleased to offer for your consideration the following:

1. Bituminous Concrete Surface IIA
F.O.B. Plant at Butler, KY \$ 60.00 per ton.
2. Bituminous Concrete Surface II
F.O.B. Plant at Butler, KY \$ 60.00 per ton.
3. Bituminous Concrete Base
F.O.B. Plant at Butler, KY \$ 58.00 per ton.
4. Bituminous Binder thru
Plant F.O.B. Butler, KY \$ 59.00 per ton.

Mago Construction Company will honor this bid from April 1, 2014 to June 30, 2015.

Respectfully submitted,
Mago Construction Company


Phillip Crump

AN EQUAL EMPLOYMENT EMPLOYER

County Road Supervisor, Tim Antrobus, was present to discuss the bids for the upcoming blacktopping season. After review of the bids it was his recommendation to accept the bid from Mago Construction, whereby Squire Fields made a motion to accept the Mago bid, seconded by Squire Whaley, motion carried.

PENDLETON COUNTY FISCAL COURT
PENDLETON COUNTY ROAD DEPARTMENT

INVITATION TO BID

To be opened: Thursday, March 21, 2014, 10:00 AM.
Date issued: Tuesday, March 11, 2014

COMMODITY: RESURFACING OF VARIOUS COUNTY ROADS

For information call: Henry Bertram, County Judge --- 859-654-4321

Bidders Name: Philip C. Lump, V.P.

Signature: Philip Lump, V.P.

Firm/Company: MAAO Construction Company

IMPORTANT INSTRUCTIONS TO BIDDERS

1. Each bid should be in a SEPARATE ENVELOPE and have typed on the envelope Blacktop Bid, opening date and time. Pendleton County Fiscal Court will not be responsible for any premature opening or failure to open a bid not properly addressed or identified as stated above.
2. Bids must be received in the office of the County Judge/Executive, 233 Main Street, Falmouth, Kentucky 41040, in a sealed envelope no later than 10:00 A.M., Thursday, March 21, 2014 at which time all received bids will be opened and read publicly in the Fiscal Court Chambers of the Old Pendleton County Courthouse.
3. All materials, equipment, construction procedures and any or all regulations that would pertain to a similar project let to contract by the Department of Transportation, Bureau of Highway or State of Kentucky shall apply to this project.
4. All equipment (distributor, road broom, grader, rollers and self propelled paver) shall meet the current specifications and be approved for similar projects let to contract by the Kentucky Department of Transportation and Bureau of Highways.
5. All cost of preparation (sweeping, cleaning, grading, etc.) shall be incidental to the cost of the mix laid.

6. The bituminous base and surface mix shall be bituminous concrete base and surface according to Section 402 and 403 of the 2012 Edition of the Kentucky Standard Specifications for Road and Bridge Construction.
7. Bituminous tack coat shall be as per Section 406 of the 2012 Edition of the Kentucky Standard Specifications for Road and Bridge Construction.
8. Bituminous concrete surface and base estimate based on per road calculated from specified depth of 1.75 inches laid, rolled to 1.50 inches.
9. Either 110 limestone or gravel may be used as the coarse aggregate in all Bituminous mixes.
10. The bituminous material for the mix shall be either AC 10 or AC 20.
11. Minimum asphalt content for asphalt base mix shall be 4.8% and for asphalt Surface mix 5.8%.
12. Patching and or leveling material shall be either bituminous concrete base or surface as directed by the County Road Supervisor.
13. Patching and or leveling materials shall be applied with either a patrol grader or paver as directed by the County Road Supervisor.
14. Surfacing contractor will not be required to alter in any way storm and/or sanitary Sever covers that may be present at time of resurfacing.
15. Quotations must be submitted on this form indicating unit price for each item bid. If applicable, total extension and a grand total bid shall be submitted.
16. It shall be the responsibility of the Contractor to include the paving of field entrances, road approaches, etc, and perform any other duties as directed by the County.
17. The Contractors Certified Technician shall furnish the County a report covering Asphalt content and gradation for all mixes laid. This report shall be furnished along with normal billing documents unless requested otherwise.
18. The County reserves the right to accept or reject any and/or all bids and to waive irregularities and to negotiate with the best qualified bidder if they deem it to be the best interest of the County to do so.

20. Bids may be rejected unless filled out in ink or typewritten and signed in ink by a proper agent of the firm.
21. Bidders are invited to attend the public bid opening.
22. Do not add or include Kentucky Sales & Use Tax. (County is exempt).
23. Contractor shall be on the Department of Transportation, Bureau of Highways Approved and prequalified bidders list.
24. Each bid must be accompanied by a bid bond payable to the owner for (5%) FIVE PERCENT of the grand total of the bid. The bid bond of the successful bidder will be retained until the performance bond has been executed and approved, after which it will be returned. A certified check may be used in lieu of a bid bond.
25. The successful bidder will be required to post a performance bond in the amount of (100%) ONE HUNDRED PERCENT of the bid. Contractor is responsible for all permits, licenses, insurance and incidentals.
26. The bidder shall comply with all applicable Federal, State and Local laws.
27. The successful bidder shall commence substantial work on the project within Thirty (30) days of the acceptance of the bid by the County, and the project shall be completed by October 15, 2014. This provision shall be binding on the successful bidder unless waived in writing by the County.
28. In the event the successful bidder fails to commence substantial work on the project within thirty (30) days and the County does not waive this requirement, the County shall have the option to reject the bid and to void the contract, and in such event to either accept the next lowest and best bidder or to negotiate with the best qualified bidder.
29. In the event the successful bidder fails to complete the project by October 15, 2014 and the County has not waived this requirement in writing, the County shall receive from the bidder (or withhold from the bidder, at its option) liquidated damages of \$250.00 per calendar day.
30. All the terms and conditions of these instructions to bidders and the specifications for this project shall constitute, in part of, and incorporate into, the contract between the County and the successful bidder.
31. All work outlined in these specifications are subject to COUNTY FUNDING.

Pendleton County Fiscal Court --- Resurfacing Various Roads--- March 25, 2013

District Three

Name of Road	Location of Road	Quantity Tons Surface Mix	Unit Cost Per Ton	Total Price
JH Godman Road CR 1238	From Hwy 330 W go North for a Distance of 1.04 Miles	<u>548</u>	\$ 57.34	\$ 31,444.00
Hays Station CR 1148	From Hwy 27 go Approx 1.6 Miles West to Bridge C 00033	<u>1,296</u>	\$ 57.12	\$ 74,019.00
Gumtick Road CR 1216	From Hwy 1054 go West Approx 2.3 Miles to New Blacktop	<u>1,493</u>	\$ 58.33	\$ 87,082.00
McGraw Road CR 1229	From Fishing Creek Road go Northeast for 2.13 Miles to Hwy 22W	<u>1,397</u>	\$ 57.09	\$ 79,742.00
Adams Rd CR 1214	From Hogg Ridge Rd. go West for .6 Miles to End of Co Maintenance	<u>327</u>	\$ 58.60	\$ 19,161.00
Bethel Lane CR 1333	From Hwy 27 N. at Address 4406 go Northeast for .5 miles back to Hwy 27 N	<u>336</u>	\$ 56.87	\$ 19,099.00
Stewart Lane CR 1150	From Hwy 330 W. go Southeast for .17 Miles to Hays Station Rd.	<u>115</u>	\$ 80.68	\$ 9,245.00
Phillip Sharp Rd. CR 1003	From Hwy 27 N go East for 1.25 Miles to End of Co Maintenance	<u>736</u>	\$ 56.89	\$ 41,880.00

District Two				
Flour Creek Road CR 1022	From Hwy 177 East go Southeast 3.0 Miles	<u>2,345</u>	\$ 55.59	\$ 130,331.00
Lock Road CR 1072B	From Hwy 27 North to Hwy 177 North for .25 Miles	<u>185</u>	\$ 63.15	\$ 11,681.00
New Hope Spur CR 1033	From Hwy 159 North go East for .80 Miles to Bracken Co line	<u>317</u>	\$ 56.91	\$ 20,870.00
Little Plummer Rd CR 1025	From Hwy 10 North go West for .90 Miles to End of Co Maintenance	<u>528</u>	\$ 57.08	\$ 30,135.00
Pionant Hill Rd CR 1043	From Hwy 159 go Northwest for 2.94 Miles to Hwy 177 East	<u>1,210</u>	\$ 57.48	\$ 69,524.00
Barker Road CR 1031	From AA Hwy go Northeast for 1.31 Miles to AA Hwy	<u>838</u>	\$ 57.98	\$ 48,608.00
Bayless Road CR 1051	From Hwy 10 go Northeast for .54 Miles to AA Hwy	<u>334</u>	\$ 57.83	\$ 19,328.00
Herald Dr. CR 1032	From Bayless Rd. go North for .12 Miles to Ivor Road	<u>76</u>	\$ 75.95	\$ 5,795.00
Johns Road CR 1071A	From Hwy 3140 go Northeast for .53 Miles to Bridge	<u>396</u>	\$ 56.16	\$ 22,273.00
Summerfield Lane CR 1071G	From Johns Road Go Northwest for .24 Miles to End of Co Maintenance	<u>105</u>	\$ 69.27	\$ 7,272.00
District One				
East Fairview Rd CR 1207	From Hwy 467 go West for 1.95 Miles to End of Co. Maintenance Sign	<u>1,423</u>	57.86	82,338.00

Lawson Lane CR 1330	From Hwy 177 North go .31 Miles to End of Co Maintenance	<u>67</u>	\$ 66.53	\$ 4,440.00
Demosville Rd CR 1326B	From Hwy 177 North go East for .46 Miles to Grass Road	<u>295</u>	\$ 56.83	\$ 16,759.00
Griess Dr CR 1326A	From Demosville Road go .09 Miles Around the Block, Back to Demosville Rd	<u>35</u>	\$ 56.57	\$ 1,986.00
Hog Back Ridge Rd CR 1011	From Hwy 27 North go Northwest for 1.21 Miles to Hwy 177 North	<u>601</u>	\$ 56.43	\$ 33,917.00
Greenwood Portland Rd CR 1339	From Hwy 17 North go West for 3.51 Miles to Hwy 467	<u>2,188</u>	\$ 56.35	\$ 123,276.00
Sullivan Rd CR 1342	From Hwy 1853 go East for 1.47 Miles to End of Co Maintenance	<u>772</u>	\$ 56.57	\$ 43,671.00
Carters Chapel Rd CR 1318	From Kenton Co Line go South for .15 Miles to Co Bridge C00055	<u>201</u>	72.11	\$ 14,464.00
Curtis Chapel Rd CR 1319	From Co Bridge C00054 go South for .4 Miles to Carters Chapel Church	<u>91</u>	\$ 93.07	\$ 8,423.00
Brownfield Rd CR 1121	From Hwy 1657 go Southwest for .72 Miles to End of Co Maintenance	<u>307</u>	\$ 57.13	\$ 17,566.00
Mullins Rd CR 1322	From Hwy 17 North go West for .86 Miles to Kenton Co Line	<u>467</u>	\$ 56.89	\$ 26,589.00
Chester Campbell Rd CR 1335	From Hwy 3185 go West for .92 Miles to End of Co Maintenance	<u>466</u>	\$ 56.49	\$ 26,302.00
Charles Dr CR 1007A	A loop of .25 Miles Along Hwy 27 North in Boston Area	<u>118</u>	\$ 60.66	\$ 7,173.00
Oaks Road CR 1310	From Jagg Road go East for .48 Miles to End of Co Maintenance	<u>218</u>	\$ 60.40	\$ 13,181.00

Fuqua Lane CR 1007D	From Bradford Road go West for .41 Miles to End of Co Maintenance	<u>192</u>	* <u>59.05</u>	\$ <u>11,366.00</u>
Burlew Lane CR 1007E	From Fuqua Lane go South for .21 Miles to End of Co Maintenance	<u>112</u>	* <u>60.92</u>	\$ <u>6,794.00</u>
District Four				
Galsbill Road CR 1104	From Hwy 22 E go West for 1.6 Miles to Lenoxtown Road	<u>1,027</u>	* <u>58.14</u>	\$ <u>59,718.00</u>
Maxx Haley Rd. CR 1112	From McKinneysburg Road go Southeast for 2.6 Miles to End of Co Maintenance	<u>1,399</u>	* <u>58.67</u>	\$ <u>81,094.00</u>
Blanket Creek Rd. CR 1140	From Hwy 27 South go Southwest for 1.8 Miles to Address 1989	<u>871</u>	* <u>58.03</u>	\$ <u>50,573.00</u>
Ambrose Wright Rd. CR 1144	From Hwy 27 South go West for 1.3 Miles to Liberty Ridge Rd.	<u>861</u>	* <u>57.86</u>	\$ <u>49,814.00</u>
Jonestown Road CR 1221	From Hwy 3180 go Southwest for .9 Miles to Pavement mark	<u>436</u>	* <u>58.68</u>	\$ <u>25,561.00</u>
Jonestown Road CR 1221	From Hwy 1054 go East for .8 Miles to Pavement Mark	<u>387</u>	* <u>59.08</u>	\$ <u>22,876.00</u>
Liberty Ridge Rd. CR 1145	From Hwy 1053 go East for 1.0 Miles to Ambrose Wright Rd	<u>561</u>	* <u>57.94</u>	\$ <u>32,498.00</u>

PROJECT COMPLETION DATE: October 15, 2014

AUTHENTICATION OF BID AND AFFIDAVIT OF NON COLLUSION AND NON CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided by KRS 432.170: That I am the bidder (if the bidder is an individual, a partner (if the bidder is a partnership) or an officer or employee of the bidding corporation having authority to sign on its behalf (if the bidder is a corporation);

That the attached bid or bids covering Pendleton County Fiscal Court, Road Department, ties been arrived at by the bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with any other vendor or materials, supplies, equipment or services described in the invitation to bid, designed to limit independent bidding or competition;

That the contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids;

That the bidder is legally entitled to enter into the contracts with the Pendleton County Fiscal Court and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, 16.092, 61.096 and 42.990; and

(Applicable to corporations only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State _____ or, That as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky. _____ (Check the statement applicable.

That I have fully informed myself regarding the accuracy of the statements made in this affidavit,

NOTICE

Any agreement of collusion among bidders or prospective bidders which restrains, tend to restrain, or is reasonably calculated to restrain completion by agreement to bid at a fixed price, or to refrain from bidding or otherwise, is prohibited. The provision of KRS 345.080 and 305.000 which permit the regulation of resale price by contract do not apply to sales to the State, no sales to Local Governments.

Any person who violates any provisions of Kentucky Revised Statute 42.076 shall be guilty of a felony and shall be punished by a fine not less than five thousand dollars nor more than ten thousand dollars, or be imprisoned not less than one year nor more than five years, or both such fine and imprisonment. Any firm, Corporation or association which violates any of the provision of KRS 42.076 shall, upon conviction, be fined not less than ten thousand dollars nor more than twenty thousand dollars.

Grand Total: \$ 1,488,786.00

We submit the prices and agree to commence work in 30 days after receipt of order. This offer is for calendar days and is from the date this bid is opened,

In submitting this bid, it is expressly agreed that upon proper acceptance by the Pendleton County Fiscal Court of any or all items bid above, a contract shall hereby be created with respect to the items accepted

Signed by Phillip Lamp, K.R. Date MARCH 21, 2014

Firm/Company MAG Construction Company
Address P.O. Box 669, Wardsboro, Ky 40004
Ph: (502) 348-3953



Certificate of Eligibility

TC 14-2
Rev. 2/05

CERTIFICATE NO.

A 2013
02432

ISSUED BY

COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET

This Certifies that **MAGO CONSTRUCTION COMPANY LLC**
PO BOX 669
BARDSTOWN KY 40004

is hereby qualified to accept a contract or subcontract on projects of the Department of Highways for such a period as uncompleted work under prime contract at any time does not exceed the aggregate amount of **UNLIMITED**. This certificate which expires **December 31, 2013** is subject to revision or revocation, and is extended to 120 days from this expiration date. An application for renewal of this certificate must be filed within ninety days after the above date.

TYPES OF WORK

- A GRADE AND DRAIN
- B PORTLAND CEMENT CONCRETE PAVING
- C1 ASPHALT PAVING OPTION B
- C2 ASPHALT PAVING OPTION A

DATE ISSUED: April 17, 2013

BY 
STATE HIGHWAY ENGINEER
DEPARTMENT OF HIGHWAYS

ACORD **CERTIFICATE OF LIABILITY INSURANCE** 211404

DATE (MM/DD/YYYY) 12/24/2013

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

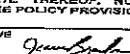
PRODUCER Commercial Lines - (502) 426-9444 Wells Fargo Insurance Services USA, Inc. 950 Brackenridge Lane, Suite 50 Louisville, KY 40207-4875	CONTACT NAME: CONSTRUCTION DEPARTMENT PHONE: 502.426.9444 FAX: (502) 426.9444 ADDRESS:	TAX ID: 855.209.1247
INSURED Mago Construction Company LLC P O Box 669 Bardstown, KY 40004	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A: Valley Forge Insurance Company	20508
	INSURER B: Continental Casualty Company	20443
	INSURER C: Transportation Insurance Company	20434
	INSURER D: Kentucky Associated General Contractors Self Ins	
	INSURER E: Charter Oak Fire Insurance Co.	25615
	INSURER F: Great American Insurance Company	16691

CERTIFICATE NUMBER: 7057594 **REVISION NUMBER:** See below

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

TYPE OF INSURANCE	POLICY NUMBER	POLICY EFF. DATE	POLICY EXP. DATE	LIMITS	
				AMOUNT	COINSURANCE
A GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> GEN'L AGGREGATE LIMIT APPLIES PER: POLICY <input checked="" type="checkbox"/> PER POLICY <input type="checkbox"/> LTD	U2078493399	12/31/13	12/31/14	EACH OCCURRENCE \$ 1,000,000 BODILY INJURY (Per person) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMPOUNDS \$ 2,000,000	
F AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HURED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS	U2089083894	12/31/13	12/31/14	COMBINED SINGLE LIMIT (Per accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$	
C UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> RETENTION \$ <input type="checkbox"/> CLAIMS-MADE	U 2080075444	12/31/13	12/31/14	EACH OCCURRENCE \$ 1,000,000 AGGREGATE \$ 1,000,000	
D WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY FUTURE EMPLOYER'S EXCLUSIVE (Member in firm) <input type="checkbox"/> Y/N Type, Section, Form, Description of Operations below	398-0	01/01/14	12/31/14	X WS STATE GEN- WORK UNEMP \$ E.L. EACH ACCIDENT \$ 4,000,000 E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$ 4,000,000	
E Contractors Equipment Policy F Excess Liability Coverage Leased/Rented Equipment	01880432M3007COF13 TUS005109800 01880432M3007COF13	12/31/13 12/31/13 12/31/13	12/31/14 12/31/14 12/31/14	As Schedules / \$2,500 Deductible \$50,000,000 Limit \$500,000 / \$2,500 Deductible	

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)
- Resurfacing Various County Roads

CERTIFICATE HOLDER Pendleton Co Fiscal Court 233 Main Street F ,th, KY 41040	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE 
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THE AMERICAN INSTITUTE OF ARCHITECTS

AIA Document A310
Bid Bond

KNOW ALL MEN BY THESE PRESENTS, THAT WE Mago Construction Co., LLC
P. O. Box 888, Bardstow, KY 40004
as Principal, hereinafter called the Principal, and Ohio Farmers Insurance Company
P.O. Box 5001, Westfield Center, OH 44281-5001
a corporation duly organized under the laws of the State of OH
as Surety, hereinafter called the Surety, are held and firmly bound unto Pendleton County Fiscal Court
233 Main Street, Falmouth, KY 41040
as Oblige, hereinafter called the Oblige, in the sum of Five Percent of Amount Bid
Dollars (\$ 5%),
for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs,
executors, administrators, successors and assigns, jointly and severally, firmly by these presents.
WHEREAS, the Principal has submitted a bid for Resurfacing of Various County Roads

NOW, THEREFORE, if the Oblige shall accept the bid of the Principal and the Principal shall enter into a Contract with
the Oblige in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or
Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt
payment of labor and materials furnished in the prosecution thereof, or in the event of the failure of the Principal to enter
such Contract and give such bond or bonds, if the Principal shall pay to the Oblige the difference not to exceed the
penalty hereof between the amount specified in said bid and such larger amount for which the Oblige may in good faith
contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise
to remain in full force and effect.

Signed and sealed this 21st day of March, 2014

Phillip Campbell (Witness)
Mago Construction Co., LLC (Principal)
By: Maisha Mathis, President (Seal) (Title)
Karina A. Starks (Witness)
Ohio Farmers Insurance Company (Surety)
By: Deborah L. Burton (Seal) (Title)
Attorney-in-Fact

AIA DOCUMENT A310 • BID BOND • AIA • FEBRUARY 1970 ED. • THE AMERICAN
INSTITUTE OF ARCHITECTS, 1735 N.W. AVE., N.W., WASHINGTON, D.C. 20006

**POWER NO.
General Power of Attorney**

**Westfield Insurance Co.
Westfield National Insurance Co.
Ohio Farmers Insurance Co.
Westfield Center, Ohio**

CERTIFIED COPY
Know All Men by These Presents, That WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY, and OHIO FARMERS INSURANCE COMPANY, corporations, hereinafter referred to individually as a "Company" and collectively as "Companies," duly organized and existing under the laws of the State of Ohio, and having their principal offices in Westfield Center, Medina County, Ohio, do by these presents make, constitute and appoint Deborah L. Burton of Louisville and State of KY its true and lawful Attorney(s)-in-Fact, with full power and authority hereby conferred in their names, place and stead, to execute, acknowledge and deliver the following surety bond:
Surety Bond Number: Bid Bond
Principal: Mago Construction Co., LLC
Obligee: Pendleton County Fiscal Court
and to bind any of the Companies thereto as fully and to the same extent as if such bonds were signed by the President, sealed with the corporate seal of the applicable Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney(s)-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolution adopted by the Board of Directors of each of the WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY, and OHIO FARMERS INSURANCE COMPANY:
"BE IT RESOLVED, that the President, any Senior Executive, any Secretary or any Fidelity & Surety Operations Executive or other Executive and on behalf of the Company subject to the following provisions:
The Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements of indemnity and other conditional or obligatory undertakings and any and all notices and documents cancelling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be as binding upon the Company as if signed by the President and sealed and attested by the Corporate Secretary."
"BE IT FURTHER RESOLVED, that the signature of any such designated person and the seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signatures or meeting held on February 8, 2000.
In Witness Whereof, WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY, and OHIO FARMERS INSURANCE COMPANY have caused these presents to be signed by their Senior Executive and their corporate seals to be hereto affixed this 1st day of January, A.D., 2012.

Corporate Seals Affixed

WESTFIELD INSURANCE COMPANY
WESTFIELD NATIONAL INSURANCE COMPANY
OHIO FARMERS INSURANCE COMPANY
By: Dennis P. Baus
Dennis P. Baus, National Surety Leader and Senior Executive

State of Ohio
County of Medina ss.:
On this 1st day of January, A.D., 2012, before me personally came Dennis P. Baus, to me known, who, being by me duly sworn, did depose and say, that he resides in Wooster, Ohio; that he is National Surety Leader and Senior Executive of WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY, and OHIO FARMERS INSURANCE COMPANY, the companies described in and which executed the above instrument; that he knows the seals of said Companies; that the seals affixed to said instrument are such corporate seals; that they were so affixed by order of the Board of Directors of said Companies; and that he signed his name thereto by like order.

Notarial Seal Affixed

By: William J. Kahelin, Attorney at Law, Notary Public
My Commission Does Not Expire (Sec. 147.03 Ohio Revised Code)

State of Ohio
County of Medina ss.:
CERTIFICATE
I, Frank Carrino, Secretary of the WESTFIELD INSURANCE COMPANY, WESTFIELD NATIONAL INSURANCE COMPANY, and OHIO FARMERS INSURANCE COMPANY, do hereby certify that the above and foregoing is a true and correct copy of a Power of Attorney, executed by said Companies, which is still in full force and effect; and furthermore, the resolutions of the Board of Directors, set out in the Power of Attorney are in full force and effect.
In Witness Whereof, I have hereunto set my hand and affixed the seal of said Company at Westfield Center, Ohio, this 21st day of March, A.D., 2014

By: Frank Carrino
Frank Carrino, Secretary

BPOAC1A
(01-12)

PENDLETON COUNTY FISCAL COURT
PENDLETON COUNTY ROAD DEPARTMENT

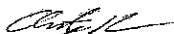
INVITATION TO BID

To be opened: ^{Friday} Thursday, March 21, 2014, 10:00 AM.
Date issued: Tuesday, March 11, 2014

COMMODITY: RESURFACING OF VARIOUS COUNTY ROADS

For information call: Henry Bertram, County Judge — 859-654-4321

Bidders Name: Anthony Ridgway

Signature: 

Firm/Company: Edson Asphalt Paving Co. Inc.

IMPORTANT INSTRUCTIONS TO BIDDERS

1. Each bid should be in a SEPARATE ENVELOPE and have typed on the envelope Blacktop Bid, opening date, and time. Pendleton County Fiscal Court will not be responsible for any premature opening or failure to open a bid not properly addressed or identified as stated above.
2. Bids must be received in the office of the County Judge/Executive, 233 Main Street, Palmouth, Kentucky 41040, in a sealed envelope no later than 10:00 A.M. ^{Friday} Thursday, March 21, 2014 at which time all received bids will be opened and read publicly in the Fiscal Court Chambers of the Old Pendleton County Courthouse.
3. All materials, equipment, construction procedures and any or all regulations that would pertain to a similar project let to contract by the Department of Transportation, Bureau of Highway or State of Kentucky shall apply to this project.
4. All equipment (distributor, road broom, grader, rollers and self propelled paver) shall meet the current specifications and be approved for similar projects let to contract by the Kentucky Department of Transportation and Bureau of Highways.
5. All cost of preparation (sweeping, cleaning, grading, etc.) shall be incidental to the cost of the mix laid.

6. The bituminous base and surface mix shall be bituminous concrete base and surface according to Section 402 and 403 of the 2012 Edition of the Kentucky Standard Specifications for Road and Bridge Construction.
7. Bituminous tack coat shall be as per Section 406 of the 2012 Edition of the Kentucky Standard Specifications for Road and Bridge Construction.
8. Bituminous concrete surface and base estimate based on per road calculated from specified depth of 1.75 inches laid, rolled to 1.50 inches.
9. Either 110 limestone or gravel may be used as the coarse aggregate in all Bituminous mixes.
10. The bituminous material for the mix shall be either AC 10 or AC 20.
11. Minimum asphalt content for asphalt base mix shall be 4.8% and for asphalt Surface mix 5.8%.
12. Patching and or leveling material shall be either bituminous concrete base or surface as directed by the County Road Supervisor.
13. Patching and or leveling materials shall be applied with either a patrol grader or paver as directed by the County Road Supervisor.
14. Surfacing contractor will not be required to alter in any way storm and/or sanitary Sewer covers that may be present at time of resurfacing.
15. Quotations must be submitted on this form indicating unit price for each item bid. If applicable, total extension and a grand total bid shall be submitted.
16. It shall be the responsibility of the Contractor to include the paving of field entrances, road approaches, etc, and perform any other duties as directed by the County.
17. The Contractors Certified Technician shall furnish the County a report covering Asphalt content and gradation for all mixes laid. This report shall be furnished along with normal billing documents unless requested otherwise.
18. The County reserves the right to accept or reject any and/or all bids and to waive irregularities and to negotiate with the best qualified bidder if they deem it to be the best interest of the County to do so.

20. Bids may be rejected unless filled out in ink or typewritten and signed in ink by a proper agent of the firm.
21. Bidders are invited to attend the public bid opening.
22. Do not add or include Kentucky Sales & Use Tax. (County is exempt)
23. Contractor shall be on the Department of Transportation, Bureau of Highways Approved and prequalified bidders list.
24. Each bid must be accompanied by a bid bond payable to the owner for (5%) FIVE PERCENT of the grand total of the bid. The bid bond of the successful bidder will be retained until the performance bond has been executed and approved, after which it will be returned. A certified check may be used in lieu of a bid bond.
25. The successful bidder will be required to post a performance bond in the amount of (100%) ONE HUNDRED PERCENT of the bid. Contractor is responsible for all permits, licenses, insurance and incidentals.
26. The bidder shall comply with all applicable Federal, State and Local laws.
27. The successful bidder shall commence substantial work on the project within Thirty (30) days of the acceptance of the bid by the County, and the project shall be completed by October 15, 2014. This provision shall be binding on the successful bidder unless waived in writing by the County.
28. In the event the successful bidder fails to commence substantial work on the project within thirty (30) days and the County does not waive this requirement, the County shall have the option to reject the bid and to void the contract, and in such event to either accept the next lowest and best bidder or to negotiate with the best qualified bidder.
29. In the event the successful bidder fails to complete the project by October 15, 2014 and the County has not waived this requirement in writing, the County shall receive from the bidder (or withhold from the bidder, at its option) liquidated damages of \$250.00 per calendar day.
30. All the terms and conditions of these instructions to bidders and the specifications for this project shall constitute, the part of, and incorporate into, the contract between the County and the successful bidder.
31. All work outlined in these specifications are subject to COUNTY FUNDING.

Pendleton County Fiscal Court—Resurfacing Various Roads—March 25, 2013

District Three

<u>Name of Road</u>	<u>Location of Road</u>	<u>Quality Tons Surface Mix</u>	<u>Unit Cost Per Ton</u>	<u>Total Price</u>
JH Godman Road CR 1238	From Hwy 330 W go North for a Distance of 1.04 Miles	<u>6041</u>	<u>64.00</u>	<u>38656.00</u>
Hays Station CR 1148	From Hwy 27 go Approx 1.6 Miles West to Bridge C.00033	<u>968</u>	<u>62.00</u>	<u>60016.00</u>
Gumlick Road CR 1216	From Hwy 1054 go West Approx 2.3 Miles to New Blacktop	<u>1558</u>	<u>63.00</u>	<u>98154.00</u>
McGraw Road CR 1239	From Fishing Creek Road go Northeast for 2.13 Miles to Hwy 22W	<u>1134</u>	<u>63.00</u>	<u>71442.00</u>
Adams Rd CR 1214	From Hogg Ridge Rd go West for .6 Miles to End of Co Maintenance	<u>319</u>	<u>63.00</u>	<u>20097.00</u>
Bethel Lane CR 1333	From Hwy 27 N at Address 4406 go Northeast for .5 miles back to Hwy 27 N	<u>303</u>	<u>62.00</u>	<u>18786.00</u>
Stewart Lane CR 1150	From Hwy 330 W go Southeast for .17 Miles to Hays Station Rd.	<u>103</u>	<u>65.00</u>	<u>6695.00</u>
Phillip Sharp Rd, CR 1003	From Hwy 27 N go East for 1.25 Miles to End of Co Maintenance	<u>756</u>	<u>62.00</u>	<u>46872.00</u>

District Two

Flour Creek Road CR 1022	From Hwy 177 East go Southeast 3.0 Miles	<u>2105</u>	<u>61.00</u>	<u>128405.00</u>
Lock Road CR 1072B	From Hwy 27 North to Hwy 177 North for .25 Miles	<u>182</u>	<u>65.00</u>	<u>11830.00</u>
New Hope Spur CR 1033	From Hwy 159 North go East for .80 Miles to Bracken Co line	<u>387</u>	<u>63.00</u>	<u>24381.00</u>
Little Plummer Rd CR 1028	From Hwy 10 North go West for .90 Miles to End of Co Maintenance	<u>436</u>	<u>62.00</u>	<u>27032.00</u>
Pleasant Hill Rd CR 1043	From Hwy 159 go Northwest for 2.04 Miles to Hwy 177 East	<u>1086</u>	<u>62.00</u>	<u>67332</u>
Barker Road CR 1051	From AA Hwy go Northeast for 1.31 Miles to Route 8	<u>729</u>	<u>60.00</u>	<u>43740.00</u>
Bayless Road CR 1051	From Hwy 10 go Northeast for 54 Miles to AA Hwy	<u>327</u>	<u>60.00</u>	<u>19620.00</u>
Herald Dr. CR 1032	From Bayless Rd. go North for .12 Miles to Ivor Road	<u>70</u>	<u>62.00</u>	<u>4340.00</u>
Johns Road CR 1071A	From Hwy 3149 go Northeast for .55 Miles to Bridge	<u>346</u>	<u>63.00</u>	<u>21798.00</u>
Summerfield Lane CR 1071G	From Johns Road Go Northwest for .24 Miles to End of Co Maintenance	<u>128</u>	<u>63.00</u>	<u>8064.00</u>

District One

East Fairview Rd CR 1207	From Hwy 467 go West for 1.95 Miles to End of Co. Maintenance Sign	<u>1133</u>	<u>61.00</u>	<u>69113.00</u>
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Lawson Lane CR 1330	From Hwy 177 North go .31 Miles to End of Co Maintenance	<u>165</u>	<u>61.00</u>	<u>10065.00</u>
Demoosville Rd CR 1326B	From Hwy 177 North go East for 46 Miles to Griess Road	<u>301</u>	<u>62.00</u>	<u>18662.00</u>
Griess Dr CR 1326A	From Demoosville Road go .09 Miles Around the Block, Back to Demoosville Rd	<u>52</u>	<u>66.00</u>	<u>3432.00</u>
Hog Back Ridge Rd CR 1011	From Hwy 27 North go Northwest for 1.21 Miles to Hwy 177 North	<u>703</u>	<u>62.00</u>	<u>43586.00</u>
Greenwood/Portland Rd CR 1339	From Hwy 17 North go West for 3.31 Miles to Hwy 467	<u>2039</u>	<u>60.00</u>	<u>122340.00</u>
Sullivan Rd CR 1342	From Hwy 1853 go East for 1.47 Miles to End of Co Maintenance	<u>925</u>	<u>61.00</u>	<u>56425.00</u>
Carters Chapel Rd CR 1318	From Kenton Co Line go South for .15 Miles to Co Bridge C00055	<u>105</u>	<u>65.00</u>	<u>6825.00</u>
Carters Chapel Rd CR 1318	From Co Bridge C00054 go South for 4 Miles to Carters Chapel Church	<u>281</u>	<u>61.00</u>	<u>17141.00</u>
Brownfield Rd CR 1121	From Hwy 1657 go Southwest for .72 Miles to End of Co Maintenance	<u>418</u>	<u>61.00</u>	<u>25498.00</u>
Mullins Rd CR 1322	From Hwy 17 North go West for .86 Miles to Kenton Co Line	<u>499</u>	<u>61.00</u>	<u>30434.00</u>
Chester Campbell Rd CR 1335	From Hwy 3185 go West for .92 Miles to End of Co Maintenance	<u>490</u>	<u>61.00</u>	<u>29890.00</u>
Charles Dr CR 1007A	A loop of .25 Miles Along Hwy 27 North in Boston Area	<u>139</u>	<u>60.00</u>	<u>8340.00</u>
Oaks Road CR 1310	From Jagg Road go East for .48 Miles to End of Co Maintenance	<u>256</u>	<u>67.00</u>	<u>17152.00</u>

Fuqua Lane CR 1007D	From Bradford Road go West for 4.1 Miles to End of Co Maintenance	<u>248</u>	<u>62.00</u>	<u>15,376.00</u>
Burlew Lane CR 1007E	From Fuqua Lane go South for 2.1 Miles to End of Co Maintenance	<u>102</u>	<u>62.00</u>	<u>6,324.00</u>
District Four				
Oakhill Road CR 1104	From Hwy 22 E go West for 1.6 Miles to Lenoxburg Road	<u>929</u>	<u>64.00</u>	<u>59,456.00</u>
Mark Haley Rd. CR 1119	From McKinneysburg Road go Southeast for 2.6 Miles to End of Co Maintenance	<u>1510</u>	<u>63.00</u>	<u>95,130.00</u>
Blanket Creek Rd. CR 1140	From Hwy 27 South go Southwest for 1.8 Miles to Address 1989	<u>1002</u>	<u>63.00</u>	<u>63,126.00</u>
Ambrose Wright Rd. CR 1144	From Hwy 27 South go West for 1.5 Miles to Liberty Ridge Rd.	<u>871</u>	<u>64.00</u>	<u>55,744.00</u>
Jonestown Road CR 1231	From Hwy 3180 go Southwest for .9 Miles to Pavement mark	<u>436</u>	<u>63.00</u>	<u>27,468.00</u>
Jonestown Road CR 1231	From Hwy 1054 go East for .8 Miles to Pavement Mark	<u>387</u>	<u>63.00</u>	<u>24,381.00</u>
Liberty Ridge Rd. CR 1145	From Hwy 1053 go East for 1.0 Miles to Ambrose Wright Rd.	<u>581</u>	<u>61.00</u>	<u>35,441.00</u>

PROJECT COMPLETION DATE: October 15, 2014

AUTHENTICATION OF BID AND AFFIDAVIT OF NON COLLUSION AND NON CONFLICT OF INTEREST

I hereby swear (or affirm) under the penalty for false swearing as provided by KRS 432.170: That I am the bidder (if the bidder is an individual), a partner (if the bidder is a partnership) or an officer or employee of the bidding corporation having authority to sign on its behalf (if the bidder is a corporation);

That the attached bid or bids covering Pendleton County Fiscal Court, Road Department, files been arrived at by the bidder independently and have been submitted without collusion with, and without any agreement, understanding or planned common course of action with any other vendor or materials, supplies, equipment or services described in the invitation to bid, designed to limit independent bidding or competition;

That the contents of the bid or bids have not been communicated by the bidder or its employees or agents to any person not an employee or agent of the bidder or its surety on any bond furnished with the bid or bids and will not be communicated to any such person prior to the official opening of the bid or bids;

That the bidder is legally entitled to enter into the contracts with the Pendleton County Fiscal Court and is not in violation of any prohibited conflict of interest, including those prohibited by the provisions of KRS 164.390, 16.092, 61.096 and 42.990; and

(Applicable to corporations only) That as a foreign corporation, we are registered with the Secretary of State, Commonwealth of Kentucky, and authorized to do business in the State of Kentucky, or, That as a domestic corporation, we are in good standing with the Secretary of State, Commonwealth of Kentucky. (Check the statement applicable.)

That I have fully informed myself regarding the accuracy of the statements made in this affidavit.

NOTICE

Any agreement of collusion among bidders or prospective bidders which restrains, tend to restrain, or is reasonably calculated to restrain completion by agreement to bid at a fixed price, or to refrain from bidding or otherwise, is prohibited. The provision of KRS 355.080 and 305.090 which permit the regulation of resale price by contract do not apply to sales to the State, no sales to Local Governments.

Any person who violates any provisions of Kentucky Revised Statute 42.076 shall be guilty of a felony and shall be punished by a fine not less than five thousand dollars nor more than ten thousand dollars, or be imprisoned not less than one year nor more than five years, or both such fine and imprisonment. Any firm, corporation or association which violates any of the provision of KRS 42.076 shall, upon conviction, be fined not less than ten thousand dollars nor more than twenty thousand dollars.

Grand Total: 1,558,614.00

We submit the prices and agree to commence work in 30 days after receipt of order. This offer is for 120 calendar days and is from the date this bid is opened.

In submitting this bid, it is expressly agreed that upon proper acceptance by the Pendleton County Fiscal Court of any or all items bid above, a contract shall hereby be created with respect to the items accepted.

Signed by Anthony Rindgen Date 3-20-14

Firm/Company Easton Asphalt Paving Co Inc.

Address 136 E. Fryman Rd. Wether, Ky 40394

Eaton Asphalt Paving Company Inc.
AR14-009 Pondleton Co Roads 2014
Anthony Ridgway

Pondleton Co Roads 2014

03/20/2014 17:28

Code	Desc	Quan	Units	Price	Total
10	JH GODMAN RD	604.000	TN	\$64.00	\$38,656.00
20	HAYS STATION	958.000	TN	\$62.00	\$59,396.00
30	GUMBLICK RD	1,558.000	TN	\$63.00	\$98,154.00
40	MCGRAW RD	1,134.000	TN	\$63.00	\$71,442.00
50	ADAMS RD	319.000	TN	\$63.00	\$20,097.00
60	BETHEL LANE	303.000	TN	\$62.00	\$18,756.00
70	STEWART LANE	102.000	TN	\$65.00	\$6,630.00
80	PHILLIP SHARP RD	756.000	TN	\$62.00	\$46,872.00
90	FLOUR CREEK RD	2,105.000	TN	\$61.00	\$128,405.00
100	LOCK RD	182.000	TN	\$65.00	\$11,830.00
110	NEW HOPE SPUR RD	387.000	TN	\$63.00	\$24,381.00
120	LITTLE PLUMMER RD	436.000	TN	\$62.00	\$27,032.00
130	PLEASANT HILL RD	1,086.000	TN	\$62.00	\$67,332.00
140	BARKER RD	729.000	TN	\$60.00	\$43,740.00
150	BAYLESS RD	327.000	TN	\$60.00	\$19,620.00
160	HERALD DR	70.000	TN	\$62.00	\$4,340.00
170	JOHNS RD	346.000	TN	\$63.00	\$21,798.00
180	SUMMERFIELD LANE	128.000	TN	\$63.00	\$8,064.00
190	EAST FAIRVIEW RD	1,133.000	TN	\$61.00	\$69,113.00
200	LAWSON LN	165.000	TN	\$61.00	\$10,065.00
210	DEMOSVILLE RD	301.000	TN	\$62.00	\$18,662.00
220	GRISS DR	92.000	TN	\$66.00	\$6,072.00
230	HOGBACK RIDGE	703.000	TN	\$62.00	\$43,586.00
240	GREENWOOD PORTLAND RD	2,039.000	TN	\$60.00	\$122,340.00
250	SULLIVAN RD	925.000	TN	\$61.00	\$56,425.00
260	CHARTERS CHAPEL RD (ONE)	128.000	TN	\$62.00	\$8,064.00
270	CHARTERS CHAPEL RD (TWO)	281.000	TN	\$61.00	\$17,141.00
280	BROWNFIELD RD	418.000	TN	\$61.00	\$25,498.00
290	MULINS RD	499.000	TN	\$61.00	\$30,439.00
300	CHESTER CAMPBELL RD	490.000	TN	\$61.00	\$29,890.00
310	CHARLES DR	139.000	TN	\$60.00	\$8,340.00
320	CAKES RD	256.000	TN	\$67.00	\$17,152.00
330	FUQUA LN	248.000	TN	\$62.00	\$15,376.00
340	BURLEW LN	102.000	TN	\$62.00	\$6,324.00
350	CAKILL RD	929.000	TN	\$64.00	\$59,456.00
360	MARK BAILEY RD	1,510.000	TN	\$63.00	\$95,130.00
370	BLANKET CREEK RD	1,002.000	TN	\$63.00	\$63,126.00
380	AMEROSÉ WRIGHT RD	871.000	TN	\$64.00	\$55,744.00
390	JONESTOWN RD (ONE)	436.000	TN	\$63.00	\$27,468.00
395	JONESTOWN RD (TWO)	387.000	TN	\$63.00	\$24,381.00
400	LIBERTY RIDGE RD	581.000	TN	\$61.00	\$35,441.00
JOB TOTAL >>>>>					\$1,558,614.00

file://C:\HeavyBid\BIN\BIDFORM\HTML\file=J\HEAVYBID\EATON\EST\AR14-... 3/20/2014



CHUBB GROUP OF INSURANCE COMPANIES
Surety Department, 15 Mountain View Road, P.O. Box 1615, Warren, NJ 07061-1615
Phone: (908) 903-3495 - Fax: (908) 908-3555

**AIA DOCUMENT A310™ - 2010
BID BOND**

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

CONTRACTOR (Name, legal status and address): Eaton Asphalt Paving Company, Inc.
136 East Frogtown Road,
Walton, KY 41094

SURETY (Name, legal status and principal place of business): Federal Insurance Company
15 Mountain View Road
Warren, N.J. 07059

OWNER (Name, legal status and address): Pondleton Co Fiscal Court
233 Main St
Falmouth, KY 41040

BOND AMOUNT: 5% of total bid

PROJECT: (Name, location or address, and Project number, if any)
2014 Blacktop Bnd

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

Printed in cooperation with the American Institute of Architects (AIA) by the Chubb Group of Insurance Companies. The language in this document conforms to the language used in AIA Document A310™ - 2010.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 2nd

day of March, 2014

[Signature]
(Witness)

Eaton Asphalt Paving Company, Inc.
(Principal) [Signature] (Corporate Seal)
(Title)

[Signature]
(Witness) Christina A. Arvizu

Federal Insurance Company
(Federal Insurance Company) (Corporate Seal)
(Attorney-In-Fact) [Signature] Robert E. Gilgax, Jr.

Printed in cooperation with the American Institute of Architects (AIA) by the Chubb Group of Insurance Companies. The language in this document conforms to the language used in AIA Document A310™-2010.

Form 16-02-0576-FED (Rev. 9-10)

2

Chubb Surety **POWER OF ATTORNEY** **Federal Insurance Company** **Attn: Surety Department**
Vigilant Insurance Company **15 Mountain View Road**
Pacific Indemnity Company **Warren, NJ 07059**

Know All by These Presents, That **FEDERAL INSURANCE COMPANY**, an Indiana corporation, **VIGILANT INSURANCE COMPANY**, a New York corporation, and **PACIFIC INDEMNITY COMPANY**, a Wisconsin corporation, do each hereby constitute and appoint **Christina A. Arvizu, Thomas R. Dietz, Robert E. Gilgax Jr., Patricia L. Hehman, Shelly M. Martin and Phyllis T. Neal** of Cincinnati, Ohio

each as their true and lawful Attorney-In-Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety hereon or otherwise, bonds and undertakings and other writings obligatory in the nature thereof (other than bail bonds) given or executed in the course of business, and any instruments amending or altering the same, and consents to the modification or alteration of any instrument referred to in said bonds or obligations.

In Witness Whereof, said **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** have each executed and attested these presents and affixed their corporate seals on this 15th day of August, 2013.

[Signature]
David J. Edwards, Assistant Secretary
STATE OF NEW JERSEY
County of Somerset ss.

[Signature]
David B. Norris, Jr., Vice President

On this 15th day of August, 2013 before me, a Notary Public of New Jersey, personally came David J. Edwards, to me known to be Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY**, the companies which executed the foregoing Power of Attorney, and the said David J. Edwards, being by me duly sworn, did depose and say that she is Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** and knows the corporate seals thereof; that she is duly authorized by authority of the By-Laws of said Companies; and that she signed said Power of Attorney as Assistant Secretary of said Companies by like authority and that she is acquainted with David B. Norris, Jr., and knows him to be Vice President of said Companies; and that the signature of David B. Norris, Jr., subscribed to said Power of Attorney is in the genuine handwriting of David B. Norris, Jr., and was thereto subscribed by authority of said By-Laws and in deponent's presence.

Notarial Seal



KATHERINE J. ADELAAR
NOTARY PUBLIC OF NEW JERSEY
No. 2316685
Commission Expires July 16, 2014

[Signature]
Notary Public

CERTIFICATION

Extract from the By-Laws of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY**:

"All powers of attorney for and on behalf of the Company may and shall be executed in the name and on behalf of the Company, either by the Chairman or the President or a Vice President, jointly with the Secretary or an Assistant Secretary, under their respective designations. The signatures of each of the following officers: Chairman, President, any Vice President, any Assistant Secretary, any Secretary, any Assistant Secretary and the seal of the Company may be annexed by facsimile to any power of attorney or to any certificate relating thereto appointing Assistant Secretaries or Attorneys-In-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached."

I, David J. Edwards, Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** (the "Companies") do hereby certify that:

- (1) the foregoing extract of the By-Laws of the Companies is true and correct,
- (2) the Companies are duly licensed and authorized to transact surety business in all 50 of the United States of America and the District of Columbia and are authorized by the U.S. Treasury Department; further, Federal and Vigilant are licensed in the U.S. Virgin Islands, and Federal is licensed in American Samoa, Guam, Puerto Rico, and each of the Provinces of Canada except Prince Edward Island; and
- (3) the foregoing Power of Attorney is true, correct and in full force and effect.

Given under my hand and seals of said Companies at Warren, NJ this



[Signature]
David J. Edwards, Assistant Secretary

IN THE EVENT YOU WISH TO NOTIFY US OF A CLAIM, VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT ADDRESS LISTED ABOVE, OR BY Telephone (908) 903-3493 Fax (908) 903-3655 e-mail: surety@chubb.com



OFFICE OF THE COMMISSIONER
OF INSURANCE
Amended
CERTIFICATE OF AUTHORITY

Satisfactory evidence has been furnished to me showing that
FEDERAL INSURANCE COMPANY
organized in the State of INDIANA, and having its
principal office at WARREN, NEW JERSEY
is in sound and solvent condition, and has fully complied with all the
provisions of the Insurance Laws of the Commonwealth of Kentucky
that are applicable thereto. Now, therefore, as Commissioner of
insurance of the Commonwealth of Kentucky, in pursuance of the
authority vested in me by the laws of this Commonwealth, I do
hereby authorize the said insurance company to transact the business
of MULTIPLE LINE INSURANCE
in this Commonwealth for the period beginning on the date shown
below and to continue in force as long as the insurer is entitled thereto.



Robert Morgan
Commissioner of Insurance.

This Certificate of Authority shall, in all times, be the property of the State of
Kentucky, and upon any expiration, suspension, revocation, or termination thereof,
the return shall promptly deliver the Certificate to the Commissioner.

CERTIFICATE NO. IS-1862496 DATE March 25, 1980

FEDERAL INSURANCE COMPANY			
STATEMENT OF ASSETS, LIABILITIES AND SURPLUS TO POLICYHOLDERS			
Statutory Basis			
DECEMBER 31, 2012			
(in thousands of dollars)			
ASSETS		LIABILITIES AND SURPLUS TO POLICYHOLDERS	
Cash and Short Term Investments.....	\$ 402,323	Outstanding Losses and Loss Expenses	\$ 12,627,388
United States Government, State and Municipal Bonds	9,901,619	Unearned Premiums	3,415,163
Other Bonds	4,595,536	Ceded Reinsurance Premiums Payable	384,197
Stocks	811,166	Provision for Reinsurance	62,250
Other Invested Assets	1,681,828	Other Liabilities	935,654
TOTAL INVESTMENTS	17,992,372	TOTAL LIABILITIES	17,405,652
Investments in Affiliates:		Capital Stock	20,980
Chubb Investment Holdings, Inc.	3,352,969	Paid-in Surplus	3,106,809
Pacific Indemnity Company	2,496,198	Unassigned Funds	10,718,227
Chubb Insurance Investment Holdings Ltd.	1,228,075		
Executive Risk Indemnity Inc.	1,100,537	SURPLUS TO POLICYHOLDERS	13,841,016
CC Canada Holdings Ltd.	758,892		
Chubb Insurance Company of Australia, Limited	517,597		
Great Northern Insurance Company	498,592		
Chubb European Investment Holdings SLP ..	265,490		
Vigilant Insurance Company	246,766		
Other Affiliates	429,458		
Premiums Receivable	1,494,693		
Other Assets	1,524,929		
TOTAL ADMITTED ASSETS	\$ 31,246,666	TOTAL LIABILITIES AND SURPLUS TO POLICYHOLDERS	\$ 31,246,666
Investments are valued in accordance with requirements of the National Association of Insurance Commissioners. Investments with a carrying value of \$430,685,714 are deposited with government authorities as required by law.			
State, County & City of New York, — ss:			
Yvonne Baker, Assistant Secretary of the Federal Insurance Company			
being duly sworn, deposes and says that the foregoing Statement of Assets, Liabilities and Surplus to Policyholders of said Federal Insurance Company on December 31, 2012 is true and correct and is a true abstract of the Annual Statement of said Company as filed with the Secretary of the Treasury of the United States for the 12 months ending December 31, 2012.			
Subscribed and sworn to before me this March 31, 2013.			
<i>Dorothy Baker</i> Notary Public		<i>Yvonne Baker</i> Assistant Secretary	
DOROTHY M. BAKER Notary Public, State of New York No. 91-4904994 Qualified in New York County Commission Expires Sept. 14, 2016			

Form 16-10-0319A (Rev. 9/10)

In Re: Presentation of 2014-2015 County Jail Budget

The county jail budget was presented to the fiscal court. This was informational only, no action taken.

Jail

Budget of Pennington County
 Fiscal Year Ending June 30, 2015
 Summary Analysis of Appropriations

Account Code	Description	Appropriation Amount
03-5101-101	Jailers Salary	51,000
03-5101-122	Jail Personnel	32,640
03-5101-129	Jail - Jailer Help	24,000
03-5101-212	Unemployment Insurance Benefits	4,000
03-5101-214	Contract Work Other Services	250,000
03-5101-236	Equipment Repair	500
03-5101-309	Unnecessary Contracted Services	45,700
03-5101-411	Machinery and Equipment	3,000
03-5101-445	Office Supplies	1,000
03-5101-455	Postage Printing Products - Ink, oil	23,000
03-5101-481	Travel - Conferences	1,500
03-5101-519	Routine Medical	23,000
03-5101-523	Telephone	4,000
03-5101-528	Maintenance & Repair - Utilities	2,550
03-5101-529	Maintenance Expenses	1,000
03-5101-514	Utilities, Contracts with State	2,000
03-9100-503	Bank Charges	100
03-9100-551	Management Fees	350
03-9100-565	Staff Training	3,500
03-9100-999	Reserve for Contingencies	25,000
03-9400-201	State Security - Jail	8,700
03-9400-202	Jail Prisoner Meals	12,300
03-9400-205	Employee Health Plan	12,100

Budget of Pennington County
 Fiscal Year Ending June 30, 2015
 Summary Analysis of Appropriations

Jail

Account Code	Description	Appropriation Amount
03-9400-203	Unemployment Insurance	452,000
03-9400-207	Unemployment Insurance	3,250
03-9400-209	Unemployment Insurance	116,200
		565,304.00

Jail BUDGET

ACCOUNT CODE	DESCRIPTION	AMOUNT
03-4533	State Jail Collection	52,300
03-4534	State Jail Medical	4,300
03-4535	County Jail Collection	4,000
03-4538	Jail Fees	2,000
03-4557	Jail Support Payments	15,000
03-4567	County Jail Support	13,000
03-4569	Local Corrections Institute	20,000
03-4799	Other Receipts	1,000
03-4801	Interest Earned	100
03-4901	Surplus from Prior Years	25,000
03-4909	Transfers to other funds	
03-4910	Transfers from other funds	429,104
		565,304

In Re: Discuss School System Donation of School Bus to Pendleton County Emergency Management

Discussion was held regarding the donation of school bus to Pendleton County Emergency Management. The School System has also made an offer to let Emergency Management park the bus at one of their facilities. Squire Fields made a motion to accept this donation, seconded by Squire Whaley, motion carried.

In Re: Transfers

Judge Bertram presented and reviewed the budget account transfers whereby Squire Veirs made a motion, seconded by Squire Fogle that the transfers be approved as presented, motion carried.

**PENDLETON COUNTY FISCAL COURT
TUESDAY MARCH 25, 2014
7:00 PM**

COURT ORDERED TRANSFERS

LGEA Fund

Transfer from (04-9200-999) Reserve for Transfers to the following accounts:

04-5075-579 Economic Development -- Water Projects \$487.00

Henry W. Bertram

Vicky J. King

In Re: Payment of Claims

Judge Bertram presented and reviewed the payment of claims, whereby Squire Fogle made a motion, seconded by Squire Fields to approve the claims as presented, motion carried.

**Pendleton County Fiscal Court
Voucher Claims Register**

General Fund

From: 03/25/2014 To: 03/25/2014

Batch	Account No.	Account Name	Invoice	P.O. No.	Claim Description	Amount
Voucher No.	09-5088	Vendor SYLVIANC	SYLVIA MCCLANAHAN			
09-0125	01-5091-563	CO. JUDGE/EXEC., POSTAGE		909712	REIMBURSEMENT FOR CERTIFIED POSTAGE	13.89
		Printed On Check 018844				13.89
Voucher No.	09-5090	Vendor HENRY BERT	HENRY BERTRAM			
09-0125	01-5025-499	OTHER SUPPLIES		909695	REIMBURSEMENT-WORKSHOP LUNCH	34.83
		Printed On Check 018845				34.83
Voucher No.	09-5091	Vendor GARYVEIRS	GARY VEIRS			
09-0125	01-5025-489	OTHER SUPPLIES		909673	REIMBURSEMENT-125 MILES TO KAGO INS FORUM	56.25
		Printed On Check 018845				56.25
Voucher No.	09-5092	Vendor EGAS	ELECTION SYSTEMS & SOFTWARE, INC.			
09-0125	01-5065-565	ELECTION PRINTING		909690	INV 878710-ELECTION LAYOUT	165.50
09-0125	01-5065-565	ELECTION PRINTING		909680	INV 878872-BALLOTS	94.69
09-0125	01-5065-565	ELECTION PRINTING		909703	AUDIO CODING FOR ELECTIONS	719.00
		Printed On Check 018847				979.19
Voucher No.	09-5093	Vendor INDUSTRIAL	PENDLETON CO INDUSTRIAL AUTHORITY			
09-0125	01-5075-578	ECONOMIC DEVELOPMENT UTILITIES		909696	41.25% COMMUNITY DEVELOPMENT EXPENSES	250.31
		Printed On Check 018848				250.31
Voucher No.	09-5094	Vendor CROUCH'S	BRIAN CROUCH-CROUCH'S LAWN CARE			
09-0125	01-5080-177	COURTHOUSE MAINTENANCE & GROUNDS	1486	909692	INV 1488-03-03-14, CLEAN PARKING LOT & SV, COURTHS	300.00
		Printed On Check 018849				300.00
Voucher No.	09-5095	Vendor COOPER	COOPER WHOLESAL, INC.			
09-0125	01-5090-411	CUSTODIAL SUPPLIES	11630	909674	CUSTODIAL SUPPLIES-COURTHOUSE	62.50
		Printed On Check 018850				62.50
Voucher No.	09-5096	Vendor WOODYMORGN	ELWOOD MORGAN			
09-0125	01-5080-671	COURTHOUSE RENEWALS & REPAIRS		909721	REPAIR DOWNSPOUT ON NE CORNER OF COURTHOUSE	180.00
		Printed On Check 018851				180.00
Voucher No.	09-5097	Vendor AMBULTAX	PENDLETON CO AMBULANCE TAXING DISTRICT			
09-0125	01-5130-507	AMBULANCE CONTRIBUTIONS		909698	MARCH CONTRIBUTION TO AMBULANCE TAX DIST	14,000.00
		Printed On Check 018852				14,000.00
Voucher No.	09-5098	Vendor LICKINGVET	LICKING VALLEY VETERINARY SERVICES			
09-0125	01-5205-385	VETERINARY SERVICE		909710	FARM CALL, CONSULT, EUTHANASIA	230.00
		Printed On Check 018853				230.00
Voucher No.	09-5099	Vendor FAMILY	FAMILY DOLLAR STORES			
09-0125	01-5205-403	ANIMAL FOOD AND SUPPLIES		909070	DOG FOOD, BATTERIES, GARBAGE BAGS	38.75
		Printed On Check 018854				38.75

**Pendleton County Fiscal Court
Voucher Claims Register**

General Fund

From: 03/25/2014 To: 03/25/2014

Batch	Account No.	Account Name	Invoice	P.O. No.	Claim Description	Voucher Date	Amount
Voucher No.	09-5100	Vendor	GALETON	GALETON GLOVES			
09-0125	01-5210-465-	RECYCLING & LANDFILL SUPPLIES	1173819-00	909690	GLOVES & VEST-SOLID WASTE	03/25/2014	398.20
		Printed On Check	018855				398.20
Voucher No.	09-5101	Vendor	EAST PEND	EAST PENDLETON WATER DISTRICT			
09-0125	01-5227-548-	SEWER & WATER - SPECIAL PROJECTS		909688	MCKINNEYSBURG ROAD WATERLINE EXTENSION	03/25/2014	32,207.00
		Printed On Check	018855				32,207.00
Voucher No.	09-5102	Vendor	SENIOR	SENIOR SERVICES OF NO. KENTUCKY			
09-0125	01-5305-348-	SENIOR CITIZENS PROGRAM SUPPORT		909722	MEALS FOR SENIOR CITIZENS	03/25/2014	2,000.00
		Printed On Check	018857				2,000.00
Voucher No.	09-5103	Vendor	BOARDOFEDU	PENDLETON COUNTY BOARD OF EDUCATION			
09-0125	01-5405-348-	RECREATION PROGRAM SUPPORT		909697	MARCH RECREATION CONTRIBUTION	03/25/2014	2,521.16
		Printed On Check	016253				2,521.16
13 Vouchers Printed Totalling							53,256.18

Page No 2

**Pendleton County Fiscal Court
Voucher Claims Register**

Road Fund

From: 03/25/2014 To: 03/25/2014

Batch	Account No.	Account Name	Invoice	P.O. No.	Claim Description	Voucher Date	Amount
Voucher No.	09-5104	Vendor	HILLTOP	HILLTOP STONE LLC			
09-0225	02-6105-409-	CRUSHED STONE & GRAVEL	879288	909510	20.35 TON #57 LIMESTONE-HARDIN ROAD	03/25/2014	212.58
09-0225	02-6105-409-	CRUSHED STONE & GRAVEL	878184	909608	21.68 TON #4 LIMESTONE (STRAUB RD TURN AROUND)		225.46
09-0225	02-6105-409-	CRUSHED STONE & GRAVEL		909509	12.00 TON #4 LIMESTONE-MT CARMEL & HARDIN SOUTH		124.20
		Printed On Check	008349				562.24
Voucher No.	09-5105	Vendor	ENGLISHFO	RON ENGLISH / ENGLISH FOUNDATIONS			
09-0225	02-6105-447-	ROAD MATERIALS		909715	GIBSON CREEK LOW WATER FORD CROSSING	03/25/2014	3,300.00
		Printed On Check	008350				3,300.00
Voucher No.	09-5106	Vendor	EATON ASPH	EATON ASPHALT			
09-0225	02-6105-447-	ROAD MATERIALS	40870389 RI	909343	35.68 TON GOLD PATCH (STOCK)	03/25/2014	4,279.20
09-0225	02-6105-447-	ROAD MATERIALS	40870397 RI	909348	95.71 TON GOLD PATCH (STOCK)		11,605.20
		Printed On Check	008351				15,884.40
Voucher No.	09-5107	Vendor	THELEN	THELEN ASSOCIATES, INC.			
09-0225	02-6105-312-	BRIDGES	77880	909688	GROTECK EXPLORATION-COLVIN BEND BRIDGE	03/25/2014	9,871.10
		Printed On Check	008352				9,871.10
Voucher No.	09-5108	Vendor	TIM ANTROB	TIM ANTROBUS			
09-0225	02-6105-447-	ROAD MATERIALS		909704	REIMBURSEMENT-TRAINING EXPENSES	03/25/2014	25.01
		Printed On Check	008353				25.01
Voucher No.	09-5109	Vendor	UK	UNIVERSITY OF KENTUCKY			
09-0225	02-6105-447-	ROAD MATERIALS		909713	10-02-13 C. MOORE-C. PURDY & S-18 T. ANTROBUS	03/25/2014	255.00
		Printed On Check	008354				255.00
Voucher No.	09-5110	Vendor	ZEE	ZEE MEDICAL, INC. - #149			
09-0225	02-6105-447-	ROAD MATERIALS	149647705	909705	FIRST AID SUPPLIES-ROAD DEPT	03/25/2014	101.05
		Printed On Check	008355				101.05
Voucher No.	09-5111	Vendor	CROUCH'S	BRIAN CROUCH-CROUCH'S LAWN CARE			
09-0225	02-6105-447-	ROAD MATERIALS		909693	PLOW GLAHN RD-INV 1464-MARCH 3, 2014	03/25/2014	200.00
		Printed On Check	008356				200.00
Voucher No.	09-5112	Vendor	SCOTT-GRO	SCOTT-GROSS COMPANY, INC.			
09-0225	02-6105-447-	ROAD MATERIALS	3188892	909675	OXYGEN CYLINDERS-ROAD	03/25/2014	35.15
		Printed On Check	008357				35.15
Voucher No.	09-5113	Vendor	TRUCK & TR	TRUCK AND TRAILER SUPPLY			
09-0225	02-6105-447-	ROAD MATERIALS	KK206731	909507	2 R-7 MODULATING VALVES (TRUCKS 4 & 5)	03/25/2014	249.98
09-0225	02-6105-447-	ROAD MATERIALS	KK206873	909503	WHEEL STUDS, CAP NUT, MODULATING VALVE		241.58
		Printed On Check	008358				591.57

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**Pendleton County Fiscal Court
Voucher Claims Register**

Road Fund

From: 03/25/2014 To: 03/25/2014

Batch	Account No.	Account Name	Invoice	P.O. No.	Claim Description	Voucher Date	Amount
Voucher No.	09-5114	Vendor	BOARDOFEDU	PENDLETON COUNTY BOARD OF EDUCATION			
09-0225	02-6105-455-	PETROLEUM PRODUCTS		909676	FEBRUARY FUEL MASTER TRANSACTION-ROAD	03/25/2014	6,584.69
		Printed On Check	008359				6,584.69
11 Vouchers Printed Totalling							37,211.29

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**Pendleton County Fiscal Court
Voucher Claims Register**

Jail Fund

From: 03/25/2014 To: 03/25/2014

Batch	Account No.	Account Name	Invoice	P.O. No.	Claim Description	Amount
Voucher No.	09-5116	Vendor	GREATAMERI	GREAT AMERICAN FINANCIAL SERVICES		Voucher Date 03/25/2014
09-0325	03-5101-445-	OFFICE SUPPLIES	15023318	909679	INV 15023318-JAILERS COPIER	35.86
		Printed On Check 006115				Voucher Totals 35.86
Voucher No.	09-5116	Vendor	SHERIFF	PENDLETON COUNTY SHERIFF		Voucher Date 03/25/2014
09-0325	03-5101-455-	PETROLEUM PRODUCTS - GAS, OIL, ETC.		909677	FEBRUARY TRANSPORTS, 1220 MI @.35 A MILE	427.00
		Printed On Check 006116				Voucher Totals 427.00
Voucher No.	09-5117	Vendor	CLINICAL	CLINICAL SOLUTIONS		Voucher Date 03/25/2014
09-0325	03-5101-548-	ROUTINE MEDICAL		909685	INMATE MEDS	149.38
		Printed On Check 006117				Voucher Totals 149.38
Voucher No.	09-5118	Vendor	MIDWESTALT	GLENN STEINKAMP - MIDWEST AUTOMOTIVE		Voucher Date 03/25/2014
09-0325	03-5101-592-	MAINTENANCE & REPAIR - VEHICLES		908129	OIL CHANGE & FILTER 08 FORD	38.02
		Printed On Check 006118				Voucher Totals 38.02
4 Vouchers Printed Totalling						650.21

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**Pendleton County Fiscal Court
Voucher Claims Register**

L.G.E.A. Fund

From: 03/25/2014 To: 03/25/2014

Batch	Account No.	Account Name	Invoice	P.O. No.	Claim Description	Amount
Voucher No.	09-5119	Vendor	AIRPORT	FALMOUTH PEND. CO. AIRPORT BOARD		Voucher Date 03/25/2014
09-0425	04-5075-579-	ECONOMIC DEVELOPMENT - WATER PROJECT		909699	ECONOMIC DEVELOPMENT-WATER PROJECT	487.00
		Printed On Check 002551				Voucher Totals 487.00
1 Vouchers Printed Totalling						487.00

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In Re: Adjournment

A motion was made by Squire Fields, seconded by Squire Whaley that this meeting be adjourned to meet again in regular session on April 8, 2014, subject to any called meetings, motion carried.

Attest:

Pendleton County Judge/Executive

Pendleton County Fiscal Court Clerk